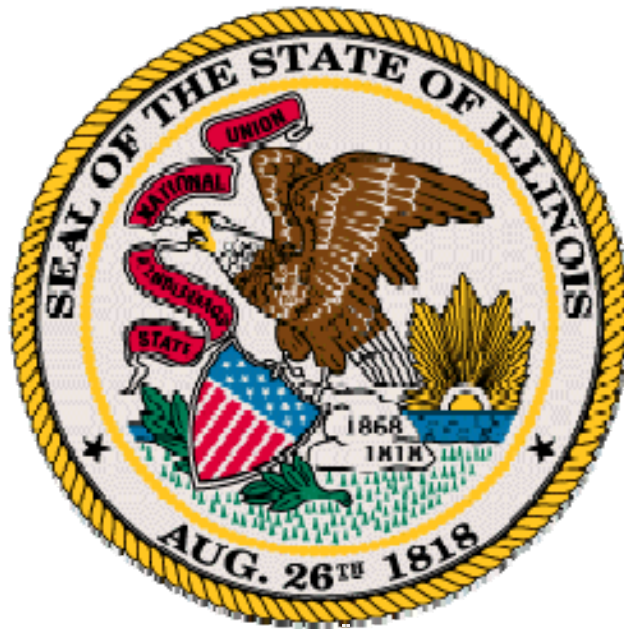




2010 Annual Report

IIJIS Implementation Board



**ILLINOIS
INTEGRATED
JUSTICE
INFORMATION
SYSTEM
Implementation Board**



300 West Adams Street
Suite 700
Chicago, Illinois 60606

Tel: (312) 793-8550
Fax: (312) 793-8422
TDD: (312) 793-4170

www.icjia.state.il.us/ijjis

To the Governor and the Honorable Members of the Illinois General Assembly:



Dorothy Brown
Board Chair

On behalf of the members of the Illinois Integrated Justice Information System (IJIS) Implementation Board, I am pleased to present the 2010 Annual Report. This report outlines the activities of the past year that the Implementation Board and its Committees pursued in an effort to further enhance the sharing of justice information across justice agencies throughout Illinois.

Last year, the Implementation Board hosted its third statewide Integrated Justice Summit. The Summit was held in Rosemont and was attended by 160 stakeholders from across Illinois. The summit provided key information for all law enforcement and justice agencies to find ways to overcome obstacles in sharing information and improving quality, accuracy, accessibility, and timeliness of information. The summit featured local and nationally recognized experts in the criminal justice and funding community, that shared best practices and national trends on integrated justice information sharing and grant funding. The summit also featured the integration success stories in Illinois, and some of the best practices utilized in information sharing.

The Implementation Board adopted the framework for the new strategic plan being developed by the Planning and Policy Committee, The *Strategic Direction for Enterprise Information Sharing 2009-2012*. The strategic issues in this document will serve as the foundation for the further development of tactical and operational plans, which will prepare the State of Illinois to meet the information sharing and integration related needs of the criminal justice community.

The Implementation Board adopted a new organizational structure that created new subcommittees designed to comply with both the technical and policy demands and needs of an integrated justice information system.

Project documentation materials and work products of the Board's various committees as well as the program and presentations from the 2009 IJIS Summit are available to the justice community and the public from the IJIS website: www.icjia.state.il.us/ijjis.

The Board's mission of improving the use of justice information is a collaborative effort involving all units of government in Illinois. With the support of these groups, and the legislature we are confident that we can create a comprehensive integrated justice strategy that will fulfill the needs of government, while protecting the privacy rights of individuals.

A handwritten signature in cursive script that reads "Dorothy Brown".

Dorothy Brown, Chair
IJIS Implementation Board

This project was supported by Grant #2001-MU-CX-0031 awarded to the Illinois Criminal Justice Information Authority by the Bureau of Justice Assistance, Office of Justice Programs, U.S. Department of Justice. Points of view or opinions contained within this document are those of the authors and do not necessarily represent the official position or policies of the U.S. Department of Justice.

Contents

Mission.....	1
Board Composition and Membership.....	2
Implementation Board Activities.....	3
General Summary.....	3
Committee reports.....	4
Planning & Policy Committee.....	4
Technical Committee.....	6
Funding Committee.....	7
Outreach Committee.....	8
Future Activities and Goals.....	9
Conclusion.....	11

Mission

Created in 2003 by Executive Order No. 16, the Illinois Integrated Justice Information System (IIJIS) Implementation Board is an intergovernmental effort dedicated to improving the administration of justice in Illinois by facilitating the electronic sharing of justice information throughout the state. It is a collaborative effort charged with enhancing public safety by making complete, accurate, and timely offender-based information available to all justice decision makers.

The executive order directs the Implementation Board to address the challenges identified in the existing IIJIS Strategic Plan and to set goals and objectives for future justice information systems. The Implementation Board promotes the electronic sharing of justice information by coordinating the development, adoption, and implementation of plans for systems designed to help positively identify individuals, provide offender status and history information, electronically exchange case information as it flows through the justice system, and share police incident report data.

The responsibilities of the Implementation Board center on promoting the integration of justice information systems and include: coordinating the development of systems that enhance integration; establishing standards to facilitate the electronic sharing of justice information; protecting individual privacy rights related to the sharing of justice information and coordinating the funding of integration efforts.

Powers, duties, and responsibilities of the Implementation Board

- (a) To promote the integration of justice information systems in Illinois
- (b) To coordinate the development, adoption and implementation of plans and strategies for sharing justice information
- (c) To coordinate the development of systems that enhance integration
- (d) To establish standards to facilitate the electronic sharing of justice information
- (e) To promulgate policies that protect individuals' privacy rights related to the sharing of justice information
- (f) To apply for, solicit, receive, establish priorities for, allocate, disburse, grant, contract for, and administer funds from any source to effectuate the purposes of the executive order
- (g) To promulgate rules or regulations as may be necessary to effectuate the purposes of this executive order
- (h) To report annually, on or before April 1st of each year to the Governor and the General Assembly, on the Board's activities in the preceding fiscal year
- (i) To exercise any other powers that are necessary and proper to fulfill the duties, responsibilities, and purposes of this executive order and to comply with the requirements of applicable federal or state laws or regulations

Board Composition and Membership

The Illinois Integrated Justice System Implementation Board is comprised of 26 members of the justice community who serve without compensation. A full-time professional staff supports the Board's activities and is headquartered at the Illinois Criminal Justice Information Authority (Authority) in Chicago. Clerk of the Circuit Court of Cook County staff supplements the work of the Authority.

A chairperson, who was elected by its membership, leads the Board. Implementation Board members include:

Dorothy Brown, Chair

Clerk of the Circuit Court of Cook County

Michael Carroll, Vice Chair

Office of the Cook County State's Attorney

Jack Cutrone

Executive Director

Illinois Criminal Justice Information Authority

Jesse White

Illinois Secretary of State

Irene Lyons, designee

Lisa Madigan

Illinois Attorney General

Cynthia Hora, designee

Jonathan E. Monken

Acting Director

Illinois State Police

Michael Snyders, designee

James P. Sledge

Director

Illinois Department of Central Management Services

Rafael Diaz, designee

Michael P. Randle

Director

Illinois Department of Corrections

Sharon Shipinski, designee

Anita Alvarez

Cook County State's Attorney

Mike Carroll, designee

Tom Dart

Cook County Sheriff

Elaine Romas, designee

Jody P. Weis

Superintendent

Chicago Police Department

Jonathan Lewin, designee

R. Steve Edmonson

Cook County Chief Information Officer

Judge A. C. Cunningham, Jr. (ret)

Cook County Public Defender

Vicki Rogers, designee

Rod Ahitow

Illinois Juvenile Justice Commission

Pamela McGuire

Illinois Association of Court Clerks

Robert Howlett

Illinois Sheriffs' Association

Michael Waller

Illinois State's Attorneys Association

Ronald Lewis

Illinois Public Defender Association

Michael Tardy

Judicial branch liaison

Skip Robertson

Judicial branch liaison

The Implementation Board would also like to thank the following individuals for their participation. Their assistance and contributions to IJIS have proven invaluable.

The Honorable Paul P. Biebel

*Presiding Judge, Criminal Division
Circuit Court of Cook County*

Doug Bowie

*Administrative Office of the Illinois
Courts*

Composition of the Implementation Board

- The Illinois Attorney General or his or her designee
- The Illinois Secretary of State or his or her designee
- The executive director of the Illinois Criminal Justice Information Authority
- The director of the Illinois State Police
- The director of the Illinois Department of Central Management Services
- The director of the Illinois Department of Corrections
- The director of technology
- The superintendent of the Chicago Police Department
- The Cook County State's Attorney
- The Cook County Sheriff
- The clerk of the Circuit Court of Cook County
- The Cook County Chief Information Officer
- The Cook County Public Defender
- A member of the Illinois Juvenile Justice Commission appointed by the chair
- A representative appointed by the Illinois Association of Chiefs of Police
- A representative appointed by the Illinois Sheriffs' Association
- A representative appointed by the Illinois State's Attorneys Association
- A representative appointed by the Illinois Association of Court Clerks
- A representative appointed by the Illinois Probation and Court Services Association
- A representative appointed by the Illinois Public Defender Association
- A member of a county board other than Cook County appointed by the Governor
- A mayor, president, or manager of an Illinois municipality appointed by the Governor
- Two members of the general public appointed by the Governor
- Two non-voting liaisons from the Judicial Branch appointed by the Supreme Court

Implementation Board Activities

General Summary

The Implementation Board has adopted a set of values to guide the development of an integrated justice plan for Illinois. The values emphasize efficiency of operations and the effectiveness of services; teamwork, collaboration, cooperation and commitment; innovation; goal and action orientation; and accountability.

Effective sharing of justice information remains more essential than ever in ensuring public safety by providing justice practitioners with facts critical for informed decision-making. Illinois has a compelling need for timely and accurate justice information sharing. Every justice and public safety entity requires information from other like-minded agencies to do its job most effectively.

In FY09, the Implementation Board adopted the framework for the *Strategic Direction for Enterprise Information Sharing in Illinois* (Strategic Direction). The Strategic Direction supports a governing body comprised of major stakeholders to oversee and guide the development, implementation, and evaluation of effective electronic justice information sharing initiatives. The Strategic Direction supports serving justice, public safety, and homeland security needs while protecting privacy, preventing unauthorized disclosures of information, and allowing appropriate public access. It also establishes standards/regulations for data sharing and infrastructure development, while securing a reliable, effective, and efficient information technology (IT) infrastructure that facilitates justice information sharing. Finally, the Strategic Direction document recognizes the need for sufficient and coordinated funding and other resources for integration.

The Implementation Board has revamped its subcommittee structure to reflect the development of the Strategic Direction for Enterprise Information Sharing document, and to better reflect the types of proceedings taking place within the criminal justice system. The new subcommittees represent law enforcement, the judiciary and its processes, shared resources interoperability, and funding sources from both governmental as well as corporations and foundations.

The Implementation Board through its Outreach Committee hosted the Illinois Integrated Justice Information Summit on Integration in 2009. The Summit showcased integration successes throughout the state from the county perspective.

Committee Reports

The work of the Implementation Board is handled by the Planning and Policy, Technical, Outreach, and Funding Committees. An Executive Steering

Committee consisting of the chairpersons of each IIJIS committee and two additional board members coordinates the IIJIS effort.

Planning & Policy Committee

The IIJIS Planning & Policy Committee is responsible for development of strategic, tactical, and project plans designed to improve electronic sharing of critical information throughout the Illinois justice system. The committee also develops policies to ensure the appropriate collection, use, and dissemination of justice information.

Recognizing the importance of electronically sharing critical data, documents, and images, representatives from various Illinois justice agencies formed a strategic planning workgroup in December 2000. This group, with the assistance from the National Governors' Association, obtained a \$973,666 grant from the United States Department of Justice to initiate an integrated justice planning process in Illinois.

The IIJIS Governing Board adopted the strategic planning process to develop an integrated justice plan for Illinois. The original plan involved decisions and actions with major consequences extending over long periods of time. The desired future state of justice integration was determined by the *Scenario for Information Sharing in Illinois*. This scenario identified the future functions, range of information exchanges, and interactions needed among primary entities throughout the justice enterprise for information sharing in Illinois. A gap analysis was then employed that examined the current state of justice information management and exchanges, and revealed the obstacles to achieving the desired state of information sharing.

The *Illinois Integrated Justice Information System Strategic Plan 2003-2004* was recognized as a national model for integrated justice strategic planning, and serves as a benchmark for other jurisdictions to emulate.

In 2009, the committee completed its review of the original strategic plan and embarked on reworking the strategic plan. *The Strategic Direction for Enterprise Information Sharing 2009-2012* will provide long-term direction for the IIJIS initiative. The document outlines a vision for Illinois with a picture of how a successful integrated criminal justice system will present itself once the strategic objectives have been accomplished.

Privacy Policy Subcommittee

As justice information systems are developed that can more efficiently gather, analyze, and share potentially sensitive data across agencies and jurisdictions, creating a comprehensive strategy that will fulfill the needs of government while protecting the privacy rights of individuals, becomes critical. This is especially important given the fact that data shared through an integrated justice system is

very likely to include types of information that are not considered traditional criminal justice information.

To guard against unauthorized disclosure of information while allowing appropriate access, the Privacy Policy Subcommittee was created to develop a comprehensive set of privacy policy recommendations that can guide justice practitioners and system designers in the appropriate collection, use, and dissemination of electronic information throughout the Illinois justice system. The group is composed of representatives from the traditional criminal justice system, as well as individuals representing the media, law schools, victim services groups, and private users of criminal history information.

In FY09 the Privacy Policy Subcommittee vetted the privacy policy for the Illinois State Police, Illinois Citizen and Law Enforcement Analysis and Reporting (I-CLEAR) System. This document contained many of the provisions of the IJIS Privacy Policy Guidance Series Volume 2 which identifies the privacy concerns created by enhanced collection, analysis, and sharing of electronic police incident report information, made possible by several initiatives under development in Illinois. This was done to ensure that there are no conflicting policies being developed within the state of Illinois.

Work began on Volume 3 of the Privacy Policy Guidance Series. This volume discusses the privacy issues surrounding several types of information, including, but not limited to, officer safety, social security numbers, fingerprints, DNA profiles, medical information, expunged and sealed records, warrants, offender registration information, and statistical data.

Law Enforcement Subcommittee

The Law Enforcement Subcommittee of the Planning and Policy Committee is a new subcommittee charged with identifying issues and information needed by, law enforcement agencies. Through technology, information can be disseminated more efficiently and effectively, allowing officers, investigators, and agents to get the needed information to make our streets and communities safer, thereby enhancing public safety.

The more than 12,000 law enforcement agencies at the local, state and federal levels conduct business, gather clues, conduct interviews, and generate reports and information throughout Illinois. Where many crimes cross one or more jurisdictions, agencies must share their information with each other, not only to catch criminals and terrorists but also to spot crime trends and patterns and help prevent attacks. The ability to share information is a foundation for adhering to national standards and best practices.

Court/Bond Hearing Subcommittee

The court process consists of several proceedings including bond hearings, arraignments, status hearings, pleas, trials and sentencing. Knowledge of

significant court event information is vital to many criminal justice agencies for their operations. In the court and bond hearing process many exchange points have been identified by the Planning and Policy Committee. The Court and Bond Hearing Subcommittee will review exchange points and gap analysis, and establish statewide information sharing standards.

This subcommittee is seeking membership from criminal justice stakeholders that would actively participate in bringing to fruition a detailed plan of action for data exchange standards in the manner of court and bond hearing events. The court events that will be reviewed include:

- Jail custody status.
- Law enforcement incident report.
- Electronic case filing.
- Motions/discovery/subpoenas.
- Bond court disposition information.
- Bond violation information.
- Pretrial supervision orders.
- Bond forfeiture warrants
- Sentencing exchange process.

Technical Committee

The Technical Committee develops data exchange and architectural standards which addresses hardware, software, networking, and data requirements, to facilitate the development of information systems that can electronically exchange data. The committee is also responsible for lending its expertise in the development of strategic, tactical, and project plans. This committee is working to enable the seamless exchange of information in a standards-based electronic justice environment. In addition, the committee also reviews best practices for justice information integration that are being applied in other jurisdictions, and determines the suitability of those models for Illinois.

In FY09, the committee restructured and developed three new subcommittees. Two of the new subcommittees are aligned with the subcommittees of the Planning and Policy Committee. **The Law Enforcement Subcommittee** will develop standards/regulations for data sharing and infrastructure development issues for the law enforcement community. While the **Court/Bond Hearing Subcommittee** will complement the same subcommittee of Planning and Policy. The Technical Committee has begun utilizing technology and leveraging available resources to expand, enhance, and maintain information technology infrastructure that is secure, reliable, effective, efficient, and accessible.

Shared Resources Interoperability Subcommittee

This subcommittee will conduct a detailed analysis of the existing IT infrastructures throughout the Illinois. A baseline scenario for the current status of Illinois IT infrastructure will be developed. The subcommittee will make

recommendations to the Implementation Board for the desired condition of the IT infrastructure based on national standards, open standards, and best practices.

To create a more effective and comprehensive information sharing network in Illinois, the subcommittee will develop and recommend an IT infrastructure that will:

- Support and enable integration of local agencies statewide.
- Support open system standards.
- Provide security policies and practices necessary to safeguard IT Infrastructures.
- Provide the highest level of identity management that actively serves all needs for authentication and credentialing.
- Create an Enterprise IT infrastructure that provides the highest levels of information availability (live, backup and recovery).
- Provide for enhanced enterprise data protection (data at rest, data in flight).
- Define a mobile strategy and architecture to support the mobile workforce.
- Provide for an improved mobile information security framework.
- Identify the varied information technology infrastructure needs throughout the state.
- Provide leadership by utilizing the identified statewide infrastructural needs information in order to coordinate and facilitate an overarching plan that promotes the allocation of resources on a needs based approach.

Funding Committee

The role of the Funding Committee is to support the development of integrated justice information systems in Illinois by identifying and coordinating funding resources for state and local integration projects and to minimize the duplication of efforts. Resources including, but not limited to, funding, technical assistance, and personnel, should be allocated based on priorities established by the Implementation Board for integration. The committee restructured and developed two new subcommittees in FY09.

The two new subcommittees the **Governmental Grants Subcommittee**, and the **Individual, Corporate & Foundation Grants Subcommittee** were created to allow the Funding Committee to diversify funding streams and better position itself for potential funding opportunities.

The committee began to develop a list of representatives from the stakeholder group that would provide much needed expertise in the area of grant writing, and fundraising. The Funding Committee continues to seek and identify sources to fund the development of a statewide data collection template that will document current baseline operational performance efficiencies and integrated justice information system costs associated with the delivery of justice services.

Outreach Committee

The IJIS Outreach Committee, comprised of the Media Strategy/Educational Materials, Presentation and Summit Planning subcommittees, is tasked with communicating the goals, objectives and vision of the IJIS initiative. The strategic objective of the committee has been to focus on disseminating information about integration in Illinois.

Summit Planning Subcommittee

In 2009, the Outreach Committee planned the third biennial IJIS Summit that was held September 10, 2009, in Rosemont, Illinois. The theme of the summit was *Providing Key Information for Illinois Integration Success*. The summit served as a catalyst for local and state law enforcement and justice agencies, to find ways to overcome obstacles in sharing information and improving the quality, accuracy, accessibility, and timeliness of information. Additionally, local and nationally recognized experts from the criminal justice and funding communities shared best practices and national trends on integrated justice information sharing and grant funding. More than 200 stakeholders from across Illinois registered to attend the event.

Stakeholders targeted for the event included law enforcement, the judiciary, state's attorneys, circuit court clerks, public defenders, sheriffs, public officials and other justice professionals. The summit featured breakout sessions on various topics, and by the reports from the summit evaluations, succeeded in stimulating dialogue across justice agencies. The 2009 IJIS Summit program and the presentations are available on the IJIS website at www.icjia.state.il.us/ijis.

Illinois Senate President John Cullerton delivered the welcome address. He discussed the importance of information sharing in the justice community and emphasized the need to collaborate across agencies to improve efficiencies and enhance public safety.

Jeff Barlow, Executive Director for the Hawthorne Institute, was the keynote speaker. His presentation, *Cultivating Integration: Missouri's Grant Funded Efforts*, focused on Missouri's successes with securing grant funding and working collaboratively with all criminal justice agencies on multi-jurisdictional projects. Barlow also made additional presentations that focused on grant funding and creative funding strategies for integration projects and provided a comprehensive look at websites that can be targeted for an agency's search for justice project funding, and stressed the importance of developing proposed grant programs that are built upon a foundation of project management, strategic planning, program assessment, research, and training.

Three morning breakout sessions featured a variety of topics focused on county level integration efforts. *eWarrants: Electronic Warrants Processing in Cook County* demonstrated bench warrants processing between the Clerk of the

Circuit Court, the Chief Judge and the Chicago Police Department and other law enforcement agencies. *Use of Technology to Integrate Criminal Justice Re-entry and Mental Health Court Project*, presented by the Illinois Department of Human Services, Division of Mental Health, provided an overview of an internet-based system used to identify mentally ill detainees in any county jail and expedite the re-entry process needed prior to release into their communities. *Court Security Information System*, presented by the Illinois Supreme Court, gave a synopsis of a system that collects and shares information for sharing reported threats and assessments of potential threats to the members of the Illinois judiciary, and development of reporting protocols for referring potential threats.

The lunch presentation provided summit participants with an overview of Champaign County's integration efforts.

After the luncheon The Chicago Police Department provided an update about its *Citizen and Law Enforcement Analysis and Reporting (CLEAR) System* and the current state and future direction. *J-Watch*, which is an integrated web-based data/case management system for juvenile probate in the 2nd Judicial Circuit of Illinois, demonstrated the current capabilities of its automated case tracking system, and Lake County officials discussed *Enabling the Business of the Courts*, which focused on their journey to create a paperless criminal justice enterprise for the justice agencies in Lake County.

Finally, the Court Clerks and their representatives from the counties of Cook, Will, Kane, McHenry, DeKalb and DuPage, made a presentation on the uniform eCitation application, which provides law enforcement agencies with an electronic citation process including data management, reporting, administrative features, and an integrated process with court case management systems.

The IIJIS Implementation Board would like to acknowledge and thank all the individuals and organizations that made special contributions to the 2009 IIJIS Summit.

Future Activities and Goals

The development of a plan for statewide information sharing

The Planning & Policy Committee was charged with developing the next set of IIJIS priorities. Next steps include developing a mid-level operational plan, and then a tactical plan.

- (1) Develop a mid-level plan:** A mid-level plan will be developed that sets forth operational objectives, outcomes, and performance measures that support each of the issues contained in the *Strategic Direction for Enterprise Information Sharing*. The plan will also identify the operational issues facing the integration of justice systems including gaps in Illinois information sharing that have already been identified. In addition, the plan

will determine which business cases should be developed and in which order. Business cases will help the Implementation Board prioritize which issues to be incorporated into the IIJIS Tactical Plan.

(2) Develop a tactical plan: A tactical plan will help coordinate and prioritize the state and local projects that will result in the information sharing. The tactical plan will describe the scope of each project and provide the estimated duration, benefits, dependencies, challenges, costs and basis for cost estimates. It will explain how the projects relate to and work with one another to improve the electronic sharing of information across the justice system.

Conclusion

FY09 was a very exciting and successful year for the IIJIS initiative and the Implementation Board. The strategic plan underwent a complete revamping and review with the adoption of the framework for *The Strategic Direction for Enterprise Information Sharing 2009-2012*. The organizational structure of the Implementation Board was changed and enhanced by the addition of new subcommittees that complies with both the technical and policy demands of an integrated justice information system.

Preliminary work began on *Privacy Policy Guidance Volume 3* will further solidify Illinois as a national leader in privacy issues within criminal justice and information sharing initiatives.

The Implementation Board and its Outreach Committee hosted a successful *IIJIS Summit on Integration* which was not only well attended but showcased many of the Illinois success stories on integrated justice and information sharing. The summit provided key information with regard to funding that was topical and timely for justice practitioners.

Our ability to generate funding to support the initiative will continue to be a key element and goal for 2010. The year of 2010 will be one of greater challenges as our efforts to integrate the criminal justice systems advance, and as the IIJIS Implementation Board continues to work toward its strategic and operational goals.