

Uniform Notice for Funding Opportunity
Adult Redeploy Illinois – SFY17 Planning Grants
Release: 9/29/16
Revised: 10/25/16

	Data Field	
1.	Awarding Agency Name:	Illinois Criminal Justice Information Authority (Authority)
2.	Agency Contact:	Lindsey LaPointe Program Manager Adult Redeploy Illinois - Illinois Criminal Justice Information Authority 300 W Adams Street, Suite 200 Chicago, IL 60606 312-793-8550
3.	Announcement Type:	<input checked="" type="checkbox"/> Initial announcement <input type="checkbox"/> Modification of a previous announcement
4.	Type of Assistance Instrument:	Grant
5.	Funding Opportunity Number:	ICJIA-2016-0006
6.	Funding Opportunity Title:	Adult Redeploy Illinois – SFY17 Planning Grants
7.	CSFA Number:	546-00-1423
8.	CSFA Popular Name:	Adult Redeploy Illinois (ARI) SFY17
9.	CFDA Number(s):	N/A
10.	Anticipated Number of Awards:	3-5
11.	Estimated Total Program Funding:	\$100,000
12.	Award Range	Minimum - None Maximum - \$30,000
13.	Source of Funding:	<input type="checkbox"/> Federal or Federal pass-through <input checked="" type="checkbox"/> State <input type="checkbox"/> Private / other funding Mark all that apply
14.	Cost Sharing or Matching Requirement:	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
15.	Indirect Costs Allowed Restrictions on Indirect Costs	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, provide the citation governing the restriction:
16.	Posted Date:	September 29, 2016
17.	Closing Date for Applications:	First due date and time: October 31, 2016 – 11:59pm Second due date and time: December 14, 2016 – 11:59pm
18.	Technical Assistance Session:	Session Offered: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Session Mandatory: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Specify date and time: Wednesday, October 12, 2016 (1pm – 2:30pm) Provide link to registration, if applicable: https://www.surveygizmo.com/s3/3072994/Adult-Redeploy-Illinois-Oversight-Board-Notice-of-Funding-Opportunity-SFY-17-Planning-Grants-Webinar-Registration

A. Program Description

Adult Redeploy Illinois (ARI) is a state initiative to reduce the number of non-violent offenders entering prison. ARI provides financial incentives to counties or judicial circuits to increase community-based supervision and services that are proven to reduce recidivism as safe alternatives to incarceration. In exchange for funds, ARI sites agree to reduce by 25 percent the number of people they send to the Illinois Department of Corrections (IDOC) from targeted populations. The reduction in incarceration saves the state money and a portion of the savings are used to sustain ARI and the local programs it supports. ARI has grown from five county sites in 2011 to 19 sites in 38 counties in State Fiscal Year 2017 (SFY17). ARI planning grants and subsequent local planning activities are a key component of the steady growth of ARI.

Planning Grants

Adult Redeploy Illinois planning grants are available contingent on funding. Counties can apply for planning grants to convene stakeholders, analyze data, and conduct other activities to determine how best to implement a local ARI program to divert non-violent offenders from prison into community-based supervision and services. By the end of the planning grant period, funded jurisdictions must complete and submit a local ARI implementation plan using the standard plan template (available at <http://www.icjia.state.il.us/redeploy/standard-plan.cfm>). Note that funded jurisdictions are under no obligation to implement the plan. Planning grant periods are generally three to six months. Grant activities and expenses may include, but are not limited to:

- Employing an outside facilitator or strategic planning consultant.
- Conducting an outside or in-house data analysis.
- Providing stakeholder training.
- Conducting site visits to observe successful diversion programs.
- Community resource mapping.

Authorizing Statutes

Adult Redeploy Illinois was created through the Illinois Crime Reduction Act [730 ILCS 190/20(d).] which outlines the program and creates a governing Oversight Board. The Crime Reduction Act provides financial incentives to local jurisdictions for programs that allow diversion of non-violent offenders from state prisons by providing community-based services and supervision through the ARI program. Pursuant to the Crime Reduction Act, the General Assembly has obligated funds for the ARI program to provide financial incentives to local jurisdictions through the Authority on behalf of the Adult Redeploy Illinois Oversight Board. Planning grant funds will be made available pursuant to the Authority's rules entitled "Operating Procedures for the Administration of Non-Federal Funds" (20 Illinois Administrative Code 1560 et seq.) and pursuant to the ARI Oversight Board designating the Implementing Agency to receive funds for the purpose of activities that plan for the implementing of an ARI program.

Goals, objectives and performance measures

Goal: Develop a strategy (local plan) to increase local capacity to provide supervision and services to a target population of non-violent offenders who would otherwise be incarcerated. The plan is to be submitted to the Adult Redeploy Illinois Oversight Board no later than May 12, 2017.

Example Objective:	Example Performance Indicator:
Convene local stakeholders	Number of meetings, number of trainings number of participants
Analysis of current local systems – justice and services	Number of meetings, number of interviews
Analysis of local data	Number of offender records analyzed
Completion of local plan report	Date completed

B. Funding Information

ARI planning grant funds became available through Public Act 099-0524. A maximum of \$100,000 will be made available through this planning grant opportunity. A county or a group of counties may request up to \$30,000. In past years, counties and groups of counties have been awarded planning grants ranging from \$3,500 to \$30,000. Funding is available for the period of November 14, 2016, through May 12, 2017. See section D (funding restrictions) for information on allowable and unallowable costs.

Agreements that result from this funding opportunity are contingent upon and subject to the availability of funds. The Authority, at its sole option, may terminate or suspend this agreement, in whole or in part, without penalty or further payment being required, if (1) the Illinois General Assembly fails to make an appropriation sufficient to pay such obligation, or if funds needed are insufficient for any reason (30 ILCS 500/20-60); (2) the Governor decreases the Authority's funding by reserving some or all of the Authority appropriation(s) pursuant to power delegated to the Governor by the Illinois General Assembly; or (3) the Authority determines, in its sole discretion or as directed by the Office of the Governor, that a reduction is necessary or advisable based upon actual or projected budgetary considerations. The Implementing Agency will be notified in writing of the failure of appropriation or of a reduction or decrease.

C. Eligibility

Any Illinois county or a group of counties may apply for an ARI planning grant. Under the Illinois Crime Reduction Act [730 ILCS 190/20(d).], only counties or groups of counties can access ARI funding. Additionally, in order to be eligible to receive ARI planning grant funds, counties must be pre-qualified through the Grant Accountability and Transparency Act Grantee Portal, www.grants.illinois.gov. During pre-qualification, Dun and Bradstreet verifications are performed, including a check of Debarred and Suspended status. The pre-qualification process also includes a financial and administrative risk assessment using an Internal Controls

Questionnaire. All entities found to be ineligible for an award will be notified and informed of corrective action needed for award eligibility.

Cost Sharing or Matching

No matching funds are required.

Indirect Cost Rate

In order to charge indirect costs to a grant, the applicant must have an annually negotiated indirect cost rate agreement (NICRA). The three types of NICRAs include:

- a) Federally Negotiated Rate: Applicant organizations that receive direct federal funding may have an indirect cost rate that was negotiated with the Federal Cognizant Agency. Illinois will accept the federally negotiated rate. The organization must provide a copy of the federally NICRA.
- b) State Negotiated Rate: The organization must negotiate an indirect cost rate with the State of Illinois if they do not have Federally Negotiated Rate or elect to use the De Minimis Rate. The indirect cost rate proposal must be submitted to the State of Illinois within 90 days of the notice of award.
- c) De Minimis Rate: An organization that has never received a Federally Negotiated Rate may elect a de minimis rate of 10% of modified total direct cost (MTDC). Once established, the de minimis rate may be used indefinitely. The State of Illinois must verify the calculation of the MTDC annually in order to accept the de minimis rate.

D. Application and Submission Information

Obtaining Application Materials

Applications may be obtained at <http://www.icjia.org/redeploy/>

Completed application materials must be emailed to CJA.ReDeploy@Illinois.gov by **11:59 p.m., October 31, 2016**, to be considered for funding. Contingent upon available funding following the initial due date and subsequent notice of state awards, a second deadline of 11:59 p.m. on December 14, 2016 will apply. Proposals will not be accepted by mail, fax, or in-person. Incomplete applications will not be reviewed. Late submissions will not be reviewed.

Required Documents

The following documents must be emailed to CJA.ReDeploy@Illinois.gov by the deadline for application review:

- **Signed letter on official county or county agency letterhead** to include a brief synopsis of:
 - Amount requested

- Basic proposed planning grant activities
- Key stakeholders involved
- Time period covered
- Completed and signed Uniform State Grant Application in Word format, including:
 - Federal Employer Identification Number (FEIN).
 - DUNS number
 - Expiration date for System for Award Management (SAM) database registration and CAGE Code
- Completed Program Description in Word
- Completed Implementation Schedule in Word
- One completed Budget/Budget Narrative in Excel.

Upon receipt of award notice, the following documents will be requested by an Authority grant monitor:

- Completed Fiscal Information Sheet in Word
- Completed and signed Audit Information Sheet in PDF
- Completed and signed Programmatic Risk Assessment in Excel

Notes: Please leave the agreement number and award amount blank on all forms.

All documents and information submitted in the application are subject to the Illinois Freedom of Information Act. If confidential documents or information are being submitted, the applicant must identify the confidential items and indicate why they are confidential.

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM) registration

Each applicant is required to:

- Be registered in SAM prior to application submission. Click here for SAM registration: <https://governmentcontractregistration.com/sam-registration.asp>
- Provide a valid DUNS number.
 - To obtain a DUNS number, visit Dun and Bradstreet, Inc., at www.dunandbradstreet.com or call 1-866-705-5711.
- Maintain an active SAM registration throughout the application and grant period.
 - For information about SAM registration procedures, go to www.sam.gov.

The Authority may not make a state award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements.

Funding Restrictions

Funds shall be used to support planning activities for the development of a local plan to implement Adult Redeploy Illinois.

- Funds may not be used for capital expenditures, renovations or remodeling, or any food and beverage purchases.
- ARI funds shall not be used to supplant existing state, county, or locally funded programs, but ARI funds may be used to supplement existing funds.
- No costs incurred before the start date of the interagency agreement (e.g., grant period) may be charged to a grant award received as part of this funding opportunity.
- All out-of-state travel requested under ARI grants will require pre-approval from Authority staff.

Deadline

Completed application materials must be emailed to CJA.ReDeploy@Illinois.gov by **11:59 p.m., Monday, October 31, 2016**, to be considered for funding. Contingent upon available funding following the initial due date and subsequent notice of state awards, a second deadline of **11:59 p.m. on December 14, 2016** will apply. Proposals will not be accepted by mail, fax, or in-person. Incomplete applications will not be reviewed. Late submissions will not be reviewed. Adherence to the deadline will be determined by the time and date of e-mail receipt. All submissions will receive a confirmation e-mail within 24 hours of receipt.

Optional Application Webinar

An optional application webinar will be held by Adult Redeploy Illinois at 1 p.m. on Wednesday, October 12, 2016. This will be an opportunity to ask questions about this funding opportunity. Participants must pre-register by October 11, 2016 at this link: <https://www.surveymoz.com/s3/3072994/Adult-Redeploy-Illinois-Oversight-Board-Notice-of-Funding-Opportunity-SFY-17-Planning-Grants-Webinar-Registration>. Information provided during this webinar will be unofficial and not binding on the state.

The webinar will be posted on the ARI website no later than Friday, October 14, 2016.

E. Application Review Information

Criteria

Applications will be reviewed for eligibility of applicant, completeness, and alignment with allowable use of funds and with ARI goals. It is anticipated that all eligible applicants submitting complete applications for this funding opportunity will receive a grant.

SCORING CRITERIA	POSSIBLE POINTS
Completeness of application	20
Clarity of proposed planning activities	20
Appropriate stakeholder representation	20
Alignment with goals of ARI	20
Alignment with allowable use of funds	20
Total possible points	100

Review and Selection Process

Application review will be conducted by Authority staff, ARI staff and the Adult Redeploy Illinois Oversight Board Site Selection & Monitoring Committee. Authority staff, ARI staff, and committee members reserve the right to reject any or all applications if it is determined that the submission(s) are not satisfactory. The staff and committee members also reserve the right to invite one or more applicants to resubmit amended applications.

Anticipated Announcement and State Award Dates

TASK	DATE
Notice of Funding Opportunity posted	September 29, 2016
Optional webinar registration deadline	October 11, 2016
Optional webinar	October 12, 2016
Applications due – first deadline	October 31, 2016
Oversight Board committee review	Week of November 7 th , 2016
Notice of State Award released – first awards	November 10, 2016
Earliest grant start date	November 14, 2016
Applications due – second deadline	December 14, 2016
Notice of State Award released – second awards	Week of December 19 th , 2016
Latest grant end date	May 12, 2017

F. Award Administration

State Award Notices

Adult Redeploy Illinois will email a Notice of State Award letter to approved grantees on or around November 10, 2016. For awards responding to the second deadline, Adult Redeploy Illinois will e-mail a Notice of State Award letter around December 22, 2016. This letter will include an outline of any remaining required grant materials. No costs incurred before the grant start date may be charged to the grant agreements.

Administrative and National Policy Requirements

If selected for funding, in addition to implementing the funded project consistent with the agency-approved project proposal and budget, the grantee must comply with grant terms and conditions, and other legal requirements, including, but not limited to, the Office of Management and Budget Grants Accountability and Transparency Act, and other federal regulations which will be included in the award, incorporated into the award by reference, or are otherwise applicable to the award. Additional programmatic and administrative special conditions may be required.

Reporting

Recipients of ARI funds must submit monthly financial reports, quarterly data reports, and final financial and data reports. Mandatory fiscal and data report templates will be distributed to each grantee for submission soon after the grant start date. Failure to comply with mandatory reporting requirements will cause immediate suspension of funding of this grant, any other grant the applicant has with ARI and the Authority, and possible termination of the grant. If applicable, an annual audit report in accordance with the Part 200 Uniform Requirements must be submitted. Future awards and fund drawdowns may be withheld if reports are delinquent.

G. State Awarding Agency Contact

To report technical issues regarding submission of an application, contact:

Lindsey LaPointe
Program Manager
Adult Redeploy Illinois - Illinois Criminal Justice Information Authority
300 W Adams Street, Suite 200
Chicago, IL 60606
312-793-8550
Lindsey.Lapointe@Illinois.gov

The deadline for submitting questions is 5:00 p.m. on October 27, 2016, to ensure all substantive questions and answers are accessible to all applicants. Applicants submitting materials by the second deadline must send questions by 5:00 p.m. on December 12, 2016. Please do not discuss your application directly or indirectly with any Authority employee other than the respondent of this email address. Only written answers to questions shall be binding on the state. All substantive questions and responses will be posted here, on the Adult Redeploy Illinois website: <http://www.icjia.state.il.us/redeploy/>

H. Other Information

The State of Illinois, the Authority, and Adult Redeploy Illinois are not obligated to make any award as a result of this announcement. The Authority Executive Director has sole authority to bind the state government to the expenditure of funds through the execution of interagency grant agreements.