



# ADULT REDEPLOY ILLINOIS

## MINUTES

### ADULT REDEPLOY ILLINOIS OVERSIGHT BOARD REGULAR MEETING

Monday, May 15, 2017, 1:30 to 3:30 p.m.

At the following public sites:

Thompson Center, Room 2-025, 100 W. Randolph, Chicago  
Stratton Building, Room 621, 401 S. Spring, Springfield

#### Welcome and Introductions

Adult Redeploy Illinois Oversight Board (ARIOB) Chair Khari Hunt welcomed Board members and guests to the quarterly ARIOB meeting. Chair Hunt called the meeting to order at 1:43 p.m. and asked Adult Redeploy Illinois (ARI) Program Director Mary Ann Dyar to call the roll.

<b>ARI Oversight Board Member Attendance</b>	<b>Present</b>	<b>Telephone</b>	<b>Absent</b>
John Baldwin, Acting Director, IDOC		X	
Walter Boyd, Community Representative			X
Lori Roper for Amy P. Campanelli, Public Defender of Cook County	X		
Jason Chambers, McLean County State's Attorney (after roll call)	X		
Emily Cole, Deputy Supervisor, Alternative Prosecution and Sentencing Courts, Cook County State's Attorney Office	X		
Khari Hunt for James T. Dimas, Secretary, IDHS	X		
Craig Findley, Chairman, PRB	X		
Patricia Hayden, Deputy Court Administrator – Probation, 18 <sup>th</sup> Judicial Circuit, DuPage County	X		
Lavone Haywood, Chief Probation Officer, Adult Probation Department, Circuit Court of Cook County	X		
Mark Ishaug, Chief Executive Officer, Thresholds		X	
John Maki, Executive Director, ICJIA	X		
Angelique Orr, Director, PSI Correspondence	X		
Michael Pelletier, Illinois State Appellate Defender			X
Hon. James M. Radcliffe (Ret.), Associate Director, Lawyers Assistance Program	X		
Kathy Saltmarsh, Executive Director, SPAC	X		
Brent Stratton, Chief Deputy Attorney General, Office of the Attorney General			X
Michael Torchia, Director, Sangamon County Court Services Department	X		

A quorum was established.

Also present were:

Megan Alderden, ICJIA Research & Analysis Unit, Research Director

Lisa Castillo, ICJIA, Associate General Counsel

Kimberly Chorney, Cook ACT-HOPE Resource Coordinator

Mary Ann Dyar, ARI Program Director

Randy Kurtz, ICJIA, Deputy Director and Chief Financial Officer  
Lindsey LaPointe, ARI Program Manager  
Era Lauder milk, Illinois Justice Project, Deputy Director  
Lynne Mock, ARI Research Director  
Katie Pieper, Cook ACT-HOPE Program Manager  
Laura Scherkenbach, ARI Policy & Project Coordinator  
Nate Inglis Steinfeld, SPAC, Research Director  
Hon. Thomas R. Sumner (Ret.), ARI Technical Assistance Provider (by telephone)  
Angie Weis, ICJIA, General Counsel and Chief of Staff  
Paula Wolff, Illinois Justice Project, Director

Chair Hunt stated the two goals for the meeting were to vote on SFY18 renewal funding for sites contingent on sufficient funding and continue discussion on the strategic plan and securing adequate resources for the program. Chair Hunt acknowledged two transitions. First, he announced the retirement of Ms. Hayden. Ms. Dyar offered words of gratitude to Ms. Hayden for her hard work at DuPage County Probation and service on the ARI Site Selection & Monitoring (SS&M) Committee. Chair Hunt also noted Ms. LaPointe's promotion to ICJIA Strategic Policy Advisor. Ms. Dyar thanked Ms. LaPointe for the five years she contributed to shaping the program.

McLean County State's Attorney Jason Chambers joined the meeting at 1:48 p.m.

#### Approval of Meeting Minutes

**Motion:** Mr. Findley moved to approve the minutes of the February 21, 2017, Adult Redeploy Illinois Oversight Board Regular Meeting. John Maki seconded the motion. The motion passed by unanimous vote.

#### Program Director and Committee Reports

ARI Research Manager Dr. Lynne Mock provided a brief presentation related to the quarterly data. Data were collected from 20 ARI sites during the third quarter. She said a total of 1,243 individuals were served including 145 new enrollments. She reported of those served, 72 successfully exited the program and 85 unsuccessfully exited the program. Of those 85, she said 58 were sent to the Illinois Department of Corrections (IDOC). She said 1,185 clients were diverted during the quarter, representing an estimated \$5.6 million in cost avoidance for the state. The numbers were at approximately the same level as those from the last quarter, she said. Dr. Mock also stated she provided IDOC commitment data to sites to help them prepare to submit renewal grants. She noted the data showed a downward trend beginning in SFY13 for both the total number committed (20-percent reduction) and the total eligible for ARI (22-percent reduction). Dr. Mock noted that if the trend continues, it will have an impact on service goals since there will be a shrinking pool of ARI-eligible offenders.

Ms. LaPointe commented that even with this decrease, more than 8,600 nonviolent offenders going to prison annually could be eligible for an ARI program. Mr. Chambers asked if certain counties are seeing greater reductions than others. Ms. Dyar noted that Cook County has had the biggest influence since it accounts for approximately half of all IDOC commitments and its numbers have been decreasing in recent years. Ms. Roper suggested that an expansion of eligibility criteria should be considered to counteract the decrease. Director Maki shared ICJIA's coordinating council experience working with different counties and seeing similar variation from county to county in criminal justice trends.

Dr. Mock provided a short status update on the database review and efforts to ensure the data are clean, accurate, and complete. She stated that she will report on that process at the next meeting. Dr. Mock noted the time constraints for proper analysis between when the data are collected and the scheduled Board meetings, a timeline that is further complicated by incomplete or late data from the sites. Dr. Mock said in the future she hoped to provide a brief quarterly report and analysis of previous quarters.

Ms. Dyar announced the upcoming 6th Annual ARI All-Sites Summit in Bloomington. Ms. LaPointe described the summit as a convening of ARI implementation and planning sites, partners, and potential ARI sites to provide training, technical assistance, information sharing, peer learning, and networking opportunities. She said while the first summit in 2012 had about 70 people representing 10 sites in 12 counties, approximately 53 counties will be represented at the summit this year. She noted the timing of this year's summit made it difficult for legislators to attend. She said event topics would include creative uses of ARI funds to fill gaps in local criminal justice systems, overall trends with IDOC commitments, and site updates allowing programs to share their work, success stories, and challenges. She said breakout session topics would include restorative justice, veterans courts, gender-responsive programming, and implementation science. A panel discussion on policy and public opinion also was planned, she said. She said ICJIA staff would conduct hands-on technical workshops at the end of each day.

Ms. Dyar said parts of the agenda were a direct result of committee conversations and ARIOB guidance. Ms. Dyar thanked Ms. Orr for her help with the Community Involvement Toolkit, which she said would be introduced and distributed at the event.

Chair Hunt moved the discussion to committee reports. Ms. Orr provided an update on the SS&M Committee, which met April 27. She said they reviewed renewal designation requests from 20 sites and developed SFY18 funding recommendations at the SFY17 maintenance level totaling \$6.2 million. She said the committee was impressed by the thoughtfulness and detail of the requests, which incorporated evidence-based practices. In spite of the state fiscal crisis, sites' commitment to ARI has continued to be strong, she said.

Mr. Steinfeld provided an update on the Performance Measurement Committee, which met several times to discuss the Cook HOPE Corrective Action Plan (CAP). He said that in February, the committee became aware of fidelity issues and commenced the CAP on March 20. He reported the Cook HOPE team has shown progress and continues to meet the required benchmarks, including creating a policy and procedures manual, streamlining data collection, creating consistent sanctions and incentives, and establishing ongoing trainings. Ms. Dyar noted that Mr. Steinfeld provided a committee report to the SS&M Committee meeting for consideration when preparing funding recommendations. Mr. Steinfeld stated that the CAP process has been useful to identify the problems and to make sure that ARI and its sites have been upholding their statutory obligations. Ms. Dyar added there has been feedback from the Cook HOPE team that the CAP process has supported positive program developments. Ms. Pieper thanked the committee, adding the Cook HOPE team remains committed to addressing the CAP and strengthening the program.

In lieu of a report from the Outreach, Technical Assistance & Communication Committee, which did not meet, Ms. Saltmarsh gave an update on House Bill 3905, introduced by Representative Juliana Stratton to expand ARI eligibility to include all probationable offenses. She said if the bill was not advanced this legislative session, there may be an opportunity to advance the bill next session with an extension request from Rep. Stratton. She reported one opposition slip was filed by the Illinois Sheriff's Association, likely due to concerns about a lack of money for proper services. Mr. Findley asked if Ms. Saltmarsh had talked to Barbara Flynn Currie about an extension. Ms. Saltmarsh said those requests have to come from the sponsor and that she will check in with Rep. Stratton. Ms. Dyar noted the inclusion of the HB3905 Fact Sheet in the ARIOB meeting packets and encouraged Board members to provide feedback to ARI staff.

#### Discussion and Votes Regarding SFY18 Funding

Chair Hunt moved the discussion to SFY18 funding. Ms. Dyar stated that staff has been planning around an anticipated appropriation level of about \$8.2 million. Ms. Dyar requested Board input on prioritizing administrative funds in the event the appropriation becomes available. Mr. Maki provided a brief report on the Senate appropriations hearings, at which there were a number of questions about ARI's role in relationship to

probation, noting some confusion about how they overlap. ARI is still largely supported across both parties and in both chambers, he said.

Ms. Dyar noted that, while awaiting a possible appropriation, ARI staff has started the comprehensive renewal process so that once there an appropriation becomes available, funds can be distributed without delay. She said all SFY18 funding recommendations are contingent upon sufficient appropriation and are subject to legal and fiscal review at ICJIA for allowability and reasonableness, Grants Accountability and Transparency Act compliance, and, in the case of problem-solving courts, completion of the new certification process through the Administrative Office of the Illinois Court.

Ms. LaPointe said five SFY17 planning grantees were completing activities. Three planning grants were incorporated into existing ARI sites' implementation grants and two were freestanding. The planning grant groups have asked about the availability of implementation grants, she said. Ms. LaPointe also noted that three sites were at risk of not meeting their reduction goals, which is taken into account in renewal funding decisions. She said Kendall and DeKalb counties' implementation of their problem-solving courts was delayed due to AOIC certification and that McLean had previously planned to not apply for renewal funding but changed course and started implementation late. She said contingent upon sufficient appropriation becoming available, the SS&M committee is recommending renewal funding for all 20 sites at SFY17 funding levels. Some renewal funding recommendations include contingencies, such as related to cost-effectiveness, she said. The Cook County grant includes a contingency based on progress with the HOPE CAP.

**Motion:** Ms. Saltmarsh moved to approve the funding recommendations as provided with the contingency that the CAP for Cook HOPE is completed successfully and in a timely manner. Judge Radcliffe seconded the motion. Recusals were accepted from Ms. Cole, Mr. Haywood, and Ms. Roper for Cook County. A recusal was accepted from Mr. Chambers for McLean County. A recusal was accepted from Ms. Hayden for DuPage County. A recusal was accepted from Mr. Torchia for Sangamon County. The motion was approved.

Chair Hunt noted that, in the event a budget is passed before the next quarterly ARIOB meeting, the staff requested re-authorization from the Board, between working committee meetings, to negotiate grant amounts proportional to the amount of funding that is made available in the budget. Ms. Dyar outlined contingency plans for both lower and higher than expected appropriations. She said grant reductions and a notice of funding opportunity to offer more planning and implementation grants would be planned, respectively.

**Motion:** Ms. Orr moved to approve authorization of the working committees to negotiate grant amounts proportionate to an appropriation. Ms. Roper seconded the motion. The motion passed by unanimous vote.

**Motion:** Ms. Orr moved to approve authorization from the Board for ARI to release a notice of funding opportunity for planning or implementation grants with sufficient appropriation before the next ARIOB meeting. The motion was seconded by Judge Radcliffe. The motion passed by unanimous vote.

### Strategic Planning Conversation

Ms. Dyar reminded the Board of her presentation in February on recommitment to ARI's Strategic Plan and the discussion about resources to pursue those goals. Since that time, Ms. Dyar said she circulated a survey to Board members to gain their perspectives on ARI's future, strengths, weaknesses, opportunities, threats, and concerns regarding strategic direction.

Mr. Maki discussed the opportunities presented in ARI's relationship with ICJIA, as well as the challenges or limitations of being housed within a state agency. He explained ICJIA's structure, how ARI fits into ICJIA's other programs, and ways in which the operational/programmatic relationship may be strengthened. He said ICJIA has the Statistical Analysis Center and is the state administrating agency for federal criminal justice grants. He said

ICJIA's goal is to use data and research in the grant making process to drive programming. He said ICJIA's process begins with researching the evidence of the problem for the purpose of addressing that issue, making recommendations, and overseeing funded programs to ensure fidelity. He said most of ICJIA's funds are federal funds through formula awards and discretionary grant awards with three-year lifespans. He said contract execution can be difficult for state grants, as they must be spent by the end of the fiscal year for which they were awarded. In addition, state grant-funded programs face annual appropriation uncertainties. He suggested that perhaps the ARIOB could weigh in on needed changes to this process.

Mr. Maki gave an overview of ICJIA and its relationship with ARI. He noted that when ARI was created by the Crime Reduction Act (CRA) in 2009, ICJIA was not named as ARI's home agency. He said ARI was placed in ICJIA and initially received a federal stimulus grant to support its pilot phase before the state began to fund it. Mr. Maki said federal grant administrators love ARI because of its success in transferring from federal "proof of concept" funds to state funding. Over the years, ARI has had to find a way to fit into ICJIA's structure, which was built to conform to federal processes and, as such, lacks some flexibility that other state grant-making agencies have, he said. The work is siloed, which can lead to some inefficiencies; however, when working well, there is a division of labor that makes policy work possible, he said. He noted that ARI is distinct among grant programs at ICJIA in the way staff provides strategic direction. Director Maki and Ms. Weis noted that 6 full-time equivalent (FTE) program, research, legal, and grant staff work on the ARI Program. Mr. Maki compared the staffing level to that of the Victims of Crime Act Program, which has nine FTE ICJIA staff managing \$80 million in grants.

Regarding the ability to increase ARI staff, Mr. Kurtz noted that needed first is an appropriation and an approved administrative plan and budget proposal from Ms. Dyar. Ms. Saltmarsh said she challenged any notion that ARI does not need more staff and asked for clarification regarding administrative funds, hiring new staff, and ICJIA's internal process. Mr. Maki said ARIOB's decisions must align with the state hiring process, which is cumbersome and, even with an appropriation, takes at least a month to bring new staff on board. Judge Radcliffe asked if ARI is in a position to hire staff as soon as there is an appropriation. Ms. Weis responded that ARI has established vacant positions ready to fill, as well as access to Federal & State Grants Unit positions. Mr. Maki noted that the state hiring process has been further complicated by litigation.

Ms. Orr mentioned a previous vote by the Board to designate a percentage of the ARI budget to hire staff. Ms. Weis noted that the research manager position was filled and two part-time people were hired. Mr. Maki commended Ms. Dyar and Ms. LaPointe for advocating for their program with limited resources. Ms. Weis added that Ms. LaPointe's job opening was posted and the interview process will proceed without delay. She noted that if the Governor's Office does not allow hiring, there is nothing that can be done regardless of a board vote. Mr. Maki reiterated that ICJIA was committed to doing everything it could to help ARI, barring a hiring freeze. Ms. Weis clarified that there was not a hiring freeze at the moment but that hiring can only take place where there is a demonstrated "critical need," as well as funding.

Ms. LaPointe suggested that, given the constraints, it may be easier to add sites to ARI than new program staff; however, because ARI provides not only grants but intensive technical assistance to sites, it may be necessary to consider capping the number of sites according to administrative constraints so that the program can operate with fidelity to its design. Ms. Saltmarsh acknowledged Mr. Maki's work at ICJIA and expressed the need for further discussion on how much ARI can be expected to successfully accomplish given staffing constraints and whether the Board should consider scaling back. Mr. Maki noted that ICJIA and ARI need to leverage their strengths and ICJIA's capacity.

Ms. Wolff asked for clarification on what staff positions are paid for by ARI and what ICJIA provides over and above that. Mr. Kurtz referenced a fiscal presentation made last summer with those details and suggested updating the information to share with the Board. Ms. Dyar said ARI pays 11 percent of the agency's shared overhead, which includes fiscal and legal staff support.

Ms. Saltmarsh posed the question of whether a request from the Board for increased grant and legal staff capacity dedicated to ARI could benefit the agency, leveraging ARI's positive reputation to increase overall head count. Ms. Weis noted that clear direction from the Board would be helpful to prioritize additional positions to fill. Mr. Kurtz said given an appropriation, Ms. Dyar would present to the Board a recommended administrative budget amount.

Chair Hunt asked the Board for next steps. He said there was a clear need for guidance and that the Board needs to take a position. Ms. Dyar noted the possibility of convening a special board meeting once there was an appropriation or holding a retreat to focus on ARI's strategic priorities. Chair Hunt asked staff to think about the objectives the Board would want to achieve in a retreat and bring their ideas back to the group. He also encouraged Board members to give Ms. Dyar feedback on the strategic planning retreat.

**Action Item:** ARIOB members will think about a potential retreat and its objectives and provide this feedback to ARI staff.

Mr. Maki reiterated that ICJIA is deeply dedicated to ARI. Judge Sumner emphasized that, in terms of priorities, the Board should not lose sight of the legislation that has been delayed and, when it comes up to a vote again, the Board needs to be engaged to support its passage. Mr. Findley raised his concerns about the ICJIA budget hearing in the Senate that Mr. Maki mentioned. He noted the work of ICJIA staff to prepare for the hearings but suggested that ARI cultivate a presence of its own in Springfield with the support of the Board. He recommended inviting legislators to graduations and said the events were extremely rewarding and enlightening. Ms. Weis emphasized the need for ARI to develop some quick bullet points or one-page documents about ARI's distinct mission and operations.

#### Adjournment

Mr. Maki moved to adjourn the meeting at 3:38 p.m. Mr. Findley seconded the motion. The motion passed by unanimous vote. The meeting was adjourned.

**(Approved 8/15/17)**