

**Minutes from the Adult Redeploy Illinois Oversight Board (ARIOB) Regular Meeting
Monday, November 2, 2015, 1:30-3:30p.m.
Thompson Center, 100 W. Randolph Street, Room 2-025, Chicago, IL 60601**

ARIOB members present (CHI): Amy Campanelli, Craig Findley, Lavone Haywood, Dave Kurlinkus (on behalf of Joe Bruscato), Randy Kurtz (on behalf of John Maki), Patricia Hayden, Thomas Mahoney, Angelique Orr, Michael Pelletier, Lori Roper, Nate Inglis Steinfeld (on behalf of Kathy Saltmarsh)

ARIOB members present (SPI): John Baldwin, Mike Torchia, Melissa Wright (on behalf of Secretary-designate James T. Dimas)

Others in attendance (CHI or SPI or phone): Laura Brookes, Lisa Castillo, Mary Ann Dyar, Rodger Heaton, Shai Hoffman, Chelsea Klein, Sara Orlan, Cindy Puent, Michelle Rock, Vernon Smith, Judge Thomas Sumner (Ret.), Randy Turner, Paula Wolff

Call to order/Roll call/Introductions

Chief of Staff Wright called the meeting to order at 1:37 p.m. Mary Ann Dyar called roll, and it was determined there was quorum. Mary Ann briefly introduced John Baldwin, Acting Director of the Illinois Department of Human Corrections and new co-chair of the Adult Redeploy Illinois Oversight Board. She also welcomed Melissa Wright, Chief of Staff of the Illinois Department of Human Services, who was co-chairing on behalf of DHS Secretary-designate Jim Dimas. (Chief Wright had co-chaired the February 2015 ARIOB meeting when she was acting secretary).

Mary Ann presented the four goals of the meeting:

1. Determine action in response to Kankakee County ARI's failure to meet its SFY15 reduction goal.
2. Receive an update on the work of the Illinois State Commission on Criminal Justice and Sentencing Reform which has the goal of reducing the prison population by 25% by 2025.
3. Approve 2015-2020 strategic plan.
4. Discuss the impact of the SFY16 budget impasse on ARI sites and determine if action is needed.

If there is time, Mary Ann said she would begin a discussion on eligibility expansion.

Approval of the minutes of August 10, 2015 regular meeting minutes

Director Baldwin requested approval of the minutes from the August 10, 2015 meeting. Randy Kurtz motioned to approve the minutes, which was seconded by Patricia Hayden. All in favor, none opposed. Minutes approved.

Program director report

Chief of Staff Wright asked Mary Ann to provide a report on the last quarter. Mary Ann referred to some slides with quarterly information on key performance metrics, as part of a move toward a management-oriented dashboard starting in 2016.

Data were collected from the 21 sites for the quarter July 1-September 30, 2015. In total, 1,421 people were served in the quarter which is steady with service levels from the prior quarter. This is what would be expected since most sites are operating at capacity and are providing intensive interventions for up to 24 months to participants. There were 172 new enrollments, which is a 20% decrease from last quarter. It is too soon to tell whether that is the result of the state budget impasse which has caused some sites to curtail services. There was a similar decrease in enrollments at the same time last year. The charts were intended to show trends (including the impact of the budget impasse) and spark questions from the ARIOB.

Nate Inglis Steinfeld noted that enrollments appear the lowest since 2013. Amy Campanelli asked about the spike in enrollments in Winnebago County in 2011, and staff explained that was when the drug court clients were transferred from expiring federal funding to ARI funds. Other main indicators were unsuccessful exits to IDOC (which occur on an individualized basis) and successful completions (which can occur in groups/cohorts periodically with problem-solving court graduations). Director Baldwin asked whether drug courts were judge- or citizen-based, and Mary Ann confirmed they are judge-based.

Nate Inglis Steinfeld asked how cases are counted when a successful exit ends up in IDOC six months later. Mary Ann said that this information is being tracked by recording client outcomes at 60, 90, 120 and 180 days post-exit.

Mary Ann also reported that:

- The 2014 annual report was submitted as required by law. The report showed that service levels were 33% higher in 2014 than in 2013.
- ARI staff is continuing to work with TASC's Center on Health & Justice and the Center of Excellence on Behavioral Health and Justice to help sites maximize the use of the Affordable Care Act resources in their local programs. A survey will be conducted with rural sites to determine gaps in ACA knowledge and help connect to training and technical assistance.
- ARI is working on a new strategic partnership with the Illinois Probation and Court Services Association to collaborate on training opportunities (e.g., evidence-based practices or research) for probation staff.
- ARI entered into a Memorandum of Understanding (MOU) with IDOC to support its work with the Second Chance Act Statewide Recidivism Reduction grant that supports full implementation of the Risk, Assets and Needs Assessment tool (as part of the Crime Reduction Act). MOUs are now required by the Bureau of Justice Assistance (BJA).
- ARI was mentioned as an example of "success-oriented funding" in an October publication from the Brennan Center for Justice on the "Reverse Mass Incarceration Act" (<https://www.brennancenter.org/publication/reverse-mass-incarceration-act>). It is gratifying that ARI continues to garner national attention for its model approach to criminal justice reform.

Committee reports

In the interest of time, Lindsey LaPointe provided brief ARIOB committee reports:

- The Site Selection & Monitoring Committee, which is chaired by Angelique Orr, met on October 16th to discuss the impact of the budget impasse on our sites and determine any possible responses to provide relief especially to sites in danger of closing (although no possible alternate funding has been identified).
- The Performance Measurement Committee, which is chaired by Joe Antolin, met on September 23rd and October 26th to talk about Kankakee County and review progress of sites under corrective action plans, including the Cook HOPE program where a site visit was conducted and which will also be issued a stakeholder survey. The committee started discussing how to assess the impact of the budget impasse on sites' ability to meet their 25% reduction goals and how the ARIOB should respond.

Presentation on the Illinois Commission on Criminal Justice and Sentencing Reform

Director Baldwin introduced Rodger Heaton, Director of Public Safety and Homeland Security Advisor, Office of the Governor, and chair of the Illinois State Commission on Criminal Justice and Sentencing Reform, which was created with the goal of finding ways to safely reduce the state prison population by 25% by 2025.

Director Heaton acknowledged the contributions of Commission members Director Baldwin, Gladys Taylor, Kathy Saltmarsh and Mike Pelletier. (Note: John Maki is also on the Commission.) He said that ARI is the kind of effort the Commission would like to see replicated, to encourage involvement of local communities in criminal justice reform. He noted there is concern about the impact of the budget impasse on programs like ARI.

In describing the work of the Commission, he noted that subcommittees were created to gather information (including from ARI), and two public hearings were conducted to receive ideas and comments from outside individuals and groups. At full Commission meetings, members debated specific recommendations for reforms that addressed admissions and length-of-stay, the two drivers of the prison population. Topics included expanding IDOC programming and credits for completing programs, using risk and needs assessments from arrest through release/parole, diverting offenders from short prison stays, lessening or eliminating collateral consequences, changing classification of some sentences (e.g., drug offenses), and releasing old and/or terminally ill prisoners.

The Commission has had serious discussions about expanding ARI to cover the state more thoroughly, given its success and the example it sets incentivizing local jurisdictions. The Commission has just started discussions around graduated reentry using electronic detention, Adult Transition Centers (ATCs) and halfway back programs. Other future topics will

likely include supplemental sentence credits beyond programming and good time. The Governor's Executive Order called for a final report by the end of the year, but there is still a lot of work left to do. The Commission plans to submit part one of a final report by year-end and requested a 90-day extension to March for part two. He welcomed suggestions from the group and acknowledged the good discussions at the recent Collaborative on Reentry meeting, convened by Paula Wolff and the Illinois Justice Project. He also thanked Amy Campanelli for the testimony she gave to the Commission earlier in the year offering specific reform recommendations. He said that Illinois does a good job punishing offenders but has to do better at returning offenders to useful citizenship per the state constitution.

Mary Ann thanked Director Heaton and reiterated the importance of ARI in helping meet the Commission's goal.

Approval of the 2015-2020 Strategic Plan

Chief Wright asked Mary Ann to present the 2015-2020 strategic plan for ARI for approval. Mary Ann provided a brief background of the strategic planning process which went from December 2013-April 2014, was facilitated by the National Criminal Justice Association, and involved several members of the Oversight Board and other criminal justice system stakeholders on the advisory committee. The strategic plan was intended to provide direction for development of the program at a time when its annual state funding appropriation had gone from \$2 million in SFY13 to \$7 million in SFY14.

She referenced a four-page summary of the strategic plan. The strategic planning goals, which include short-, mid- and long-term objectives, flow from the principles of ARI's enabling legislation, the Crime Reduction Act:

- Goal #1: ARI will reduce recidivism for program participants. – This goal includes ARI working with the Illinois Criminal Justice Information Authority (ICJIA) to track performance measures in the program and conducting an outcome evaluation with an external university that will hopefully show that sites have achieved reductions in recidivism that meet or exceed what research shows is possible with evidence-based interventions. Mary Ann noted that the committee had discussed including a numeric recidivism reduction goal, but the ARIOB agreed that was not necessary but that it should be significant. Effects vary across different program models, which ARI staff will catalog and track.
- Goal #2: ARI programs will be data-driven, evidence-based and results-oriented. – This goal includes working with the Illinois Sentencing Policy Advisory Council (SPAC) on cost-benefit analysis, as well as reviewing risk assessment information collected by the sites on ARI participants and having participation in ARI by the counties committing the highest numbers of non-violent offenders to IDOC (of the top 10 we have 9 [except Champaign], and 17 of top 20).
- Goal #3: ARI will foster a strong community corrections system through access to expanded human services that target criminogenic needs. – This goal involves documenting how ARI differs from traditional community supervision programs and how to replicate evidence-based practices. It also involves ensuring statewide, equitable access to ARI and possible expansion of eligibility criteria. Chief Wright noted that Secretary Dimas questioned how realistic it is to talk about "expanded" human services when resources are being cut in the field. Upon discussion, the word "expanded" was deleted.
- Goal #4: ARI will support community-led justice efforts consistent with ARI principles. – This goal includes supporting community-based, multi-disciplinary efforts to deal with low-level offenders in the community rather than sending them to state prisons. This aligns with the Commission's goal to establish local criminal justice coordinating councils.
- Goal #5: ARI will develop and maintain adequate resources for optimum program operation and performance. – This goal is about ensuring annual appropriations are fully and most effectively spent on the program, that strategic partnerships are leveraged, and that there is adequate staff dedicated to program goals.

Nate Inglis Steinfeld asked about the mid-term objective in Goal #3 to "ensure equal access to ARI to minority groups" and if this should be an immediate objective. Mary Ann acknowledged that "ensuring access" will take time and we need a metric to measure how this is achieved. In Goal #4, Craig Findley asked about what was meant by the objective related to culture change in the system. Lindsey responded that we want to document where ARI sites report there is a new way of doing business in probation that is more in line with evidence-based practices.

Angelique Orr motioned for an approval of the strategic plan with the change of wording on Goal #3. The motion was seconded by Randy Kurtz. All in favor, none opposed. Strategic plan approved.

Report on Kankakee County site visit and vote on action

Director Baldwin noted that action was needed regarding Kankakee County's failure to meet its SFY15 25% reduction goal, which was discussed at the August ARIOB meeting. Mary Ann reported that ARI staff and Nate Inglis Steinfeld representing the ARIOB visited Kankakee County on September 2nd to assess the county's readiness to participate in ARI in light of its inability to divert any individuals during the SFY15 six-month grant period. Staff received strong assurances of support for ARI from all of the stakeholders. The site visit report was included in the meeting materials, and Tom Latham and Randy Turner from Kankakee County joined the meeting by phone to answer any questions.

The Performance Measurement Committee met on October 26th and recommended that the penalty for Kankakee County's failure to meet the SFY15 25% reduction goal of seven be waived due to the mitigating circumstances (not able to hire staff and implement the program) and with assurances that purchases made with SFY15 ARI funds continue to be utilized for the ARI program. Craig Findley motioned to accept the committee recommendation and waive the penalty. The motion was seconded by Angelique Orr. All in favor, none opposed. Motion passed.

Mary Ann said that the committee did not prepare a recommendation regarding possible modifications to SFY16 funding for Kankakee County due to the uncertainty in the amount and timing of the ARI appropriation in the state budget. Staff will continue to monitor site progress; and, once a budget is passed, will propose modifications to this and other sites' grants, if necessary. The ARIOB agreed to wait and see.

Update on SFY16 implementation and budget

Chief Wright opened the discussion on the impact of the SFY16 budget impasse and possible ARIOB responses. Mary Ann referred members to the document that summarized the impact on sites. She noted that information has been collected through phone calls, surveys and quarterly reports. In the five months without a state budget, sites have been moving county funds around to cover expenses.

- 10 sites report a direct impact on their clients in terms of reduced access to services.
- 9 sites have stopped or substantially decreased enrollments.
- 9 sites report less access to treatment in the community.
- 3 sites have cut back on cognitive behavioral therapy.
- 3 sites have laid off (or are about to) program staff. Another 4 sites are understaffed because they are not being able to fill vacant staff positions.
- 14 sites believe the impasse will affect their ability to meet their reduction goals, which are contractual obligations that carry the threat of a penalty. Modifications may need to be made to reduction goals based on the effects of the impasse on service levels.

Mary Ann reported that site spending is lagging. Overall, sites are spending at 70% of budget, and this is likely to go lower as sites lay off staff. Several counties are starting new fiscal years on December 1st and may decide to stop funding programs until there is a state budget. This information was intended to create awareness and alert the ARIOB to decisions down the road.

Mary Ann shared that the juvenile Redeploy Illinois Oversight Board recently sent a memo of thanks and encouragement to its sites, and she suggested the ARIOB should do something similar. Amy Campanelli asked whether there were any funds available through ICJIA to offer failing sites. Mary Ann said that ARI and ICJIA worked to find emergency bridge funding, but the federal funding that was explored was not available due to supplanting issues. In addition, the potential amount of funds was so small that issues would have been raised around equitable distribution. Mary Ann thanked the members of the Site Selection & Monitoring Committee for their counsel on this topic. Amy Campanelli further asked about possible private foundation funding; and, while ARI could look into that, Mary Ann noted that there are often considerable lag times with foundation funding and there may be similar supplanting concerns. The budget impasse is acting as a "stress test" on sites to see how dependent they are on ARI funds. Staff has offered advice on diversifying funding sources for their programs through drug court fees and by working with non-profit fund raising arms. Lindsey requested other suggestions from the ARIOB.

For those sites at risk of closing, Angelique Orr asked about what that means in terms of site contracts and goals. Mary Ann said that staff is encouraging sites to leave contracts open even as services are cut back, so that programs can restart once a budget is passed and terms can be modified at a later time. Nate Inglis Steinfeld asked whether the Brennan Center,

which considered ARI a model program, is a possible source of funding, but it is more of a think tank. Mary Ann noted that other options for funding are much less feasible than having a state budget; however, staff wants to avoid losing sites at any cost. ARI will draft a note of encouragement from the ARIOB to distribute to sites as soon as possible.

Reauthorization of ARIOB committee funding decisions between regular meetings

In the event that a state budget is passed before the next quarterly ARIOB meeting in February 2016, staff requested that the ARIOB re-authorize the Site Selection & Monitoring Committee in between regular meetings to negotiate grant amounts proportionately depending on the final SFY16 ARI appropriation. Similar authorizations were provided at the May and August meetings, in order to allow for maximum flexibility and to prevent further delays. Randy Kurtz made the motion, which was seconded by Lavone Haywood. All in favor, none opposed. Motion passed.

In a separate motion, staff requested authorization of a committee to decide whether the Cook HOPE grant would be extended beyond December 31, 2015 based on site progress toward the benchmarks in the CAP. Randy Kurtz made the motion, which was seconded by Angelique Orr. Amy Campanelli and Lavone Haywood and Thomas Mahoney abstained. All others in favor, none opposed. Motion passed.

Both authorizations expire at the next ARIOB meeting.

Administrative Issues

Lindsey talked about putting planning for the 2016 All-Sites Summit on hold. Last year was a scaled-back event due to budget issues. The summits are valued by the sites and attract 150 attendees. The group agreed that putting on an event at this time is not prudent without a funding guarantee, but perhaps later in the year. This topic will be revisited at the February 2016 ARIOB meeting.

Discussion of eligibility

Mary Ann reported about discussions taking place at the national and state level, by the Commission and the Sentencing Policy Advisory Council, about eligibility for diversion programs and in-prison programming. Currently, the practice is not very evidence-based with intensive services offered only to low-level, non-violent offenders for the most part, when research shows that these services should really be targeted to high-risk clients, including those with violent histories. ARI staff recently polled the sites on this topic of eligibility expansion and provided the input to the Sentencing Policy Advisory Council at its October meeting, where there was interest from the legislative members about possible statutory changes. Mary Ann provided an overview of the information collected and suggested assigning this topic to an existing working committee of the ARIOB or to an ad hoc committee to review site input and develop recommendations for the full ARIOB.

Old Business/New business

Mary Ann provided the proposed quarterly meeting dates for 2016: 2/8, 5/16, 8/8 and 11/14.

Angelique Orr commended the staff and Board for sustaining the program during these difficult times. Lindsey offered ARIOB updates on the budget impasse on a monthly basis or as significant issues emerge.

Adjournment

Angelique Orr made a motion to adjourn, which was seconded by Patricia Hayden. All in favor, none opposed, meeting adjourned at 3:42 p.m.

Minutes submitted by: Mary Ann Dyar, Program Director
(Approved 2/8/16)