

Minutes from the Adult Redeploy Illinois Oversight Board (ARIOB) Regular Meeting
Monday, August 8, 2016, 1:30 to 3:30 p.m.
Thompson Center, Room 2-025, 100 W. Randolph, Chicago
Stratton Building, Room 621, 401 S. Spring, Springfield

ARIOB members present (CHI): Walter Boyd, Craig Findley, Patricia Hayden, Lavone Haywood, Khari Hunt (for Secretary Dimas), David Kurlinkus (for Joseph Bruscato), Randy Kurtz (for John Maki), Tom Mahoney, Mike Pelletier, Lori Roper (for Amy Campanelli)

ARIOB members present (SPI): Kathy Saltmarsh, Mike Torchia

Others in attendance (CHI or SPI or phone): Laura Brookes, Lisa Castillo, Matt DeSalvo, Mary Ann Dyar, Cristin Evans, Esther Franco-Payne, Kelly Gallivan-Illaraza, Ariel Gibbs, Rebecca Janowitz, Rachel Kinsella, Lindsey LaPointe, Sara Orlan, Vernon Smith, Nate Inglis Steinfeld, Judge Thomas Sumner (Ret.), Angie Weis

Call to order/Roll Call/Introductions

Khari Hunt called to order at 1:36 p.m. Mary Ann performed roll call and it was determined there was quorum. Mary Ann welcomed Khari Hunt, Chief Operating Officer for the Illinois Department of Human Services, co-chairing on behalf of Secretary-designate Dimas; and Mark Ishaug, CEO of Thresholds, the proposed new Oversight Board member.

The goals of the meeting are to update the ARIOB on the impact of the SFY16 state budget impasse on sites and determine if any action is warranted, and approve SFY17 renewal requests for 21 sites.

Approval of minutes

Chief Hunt called for approval of the minutes from the February 8, 2016 regular ARIOB meeting. Upon a motion by Randy Kurtz, seconded by Craig Findley, the minutes were approved.

Approval of new ARIOB member

Kathy Saltmarsh from the ad hoc Recruitment & Selection Committee describe the process the committee underwent to fill the slot left vacant by Joe Antolin, who held one of the four non-governmental organization representative positions on the Board. The committee reviewed a list of 20 individuals and organizations provided by staff and Oversight Board members. Mark Ishaug was selected based on the critical perspective and expertise he will bring regarding mental health and supportive housing, and in light of robust efforts by Thresholds in the research arena. His bio was included in the meeting materials.

Mark Ishaug thanked the group for the opportunity. He described Thresholds as a fairly large and growing mental health agency providing psychiatric and social services including to the justice-involved population. He mentioned work with the Cook County Bond Court, Cermak, Cook County Hospital, Cook County President's Office, and TASC. Thresholds is also in discussions with the administration to offer services for those leaving prison.

Upon a motion by Kathy Saltmarsh, seconded by Tom Mahoney, Mark Ishaug was approved as the new Oversight Board member.

Program director report

Mary Ann reported on program activities since February, referring to the Program Director report in the materials. Most of staff's time and energy has been devoted to maintaining the ARI network during the state budget impasse, when no funds can be disbursed to sites for their programs. Additionally, staff has been working to share lessons learned through ARI with other reform efforts along the justice spectrum, including with the Commission on Criminal Justice and Sentencing Reform regarding its recommendation to establish criminal justice coordinating

councils (CJCC) around the state, and the Graduated Reentry Initiative pilot program. To support the development of CJCCs, ARI staff helped submit a proposal for funding through the federal Justice Reinvestment Initiative.

Other developments of note:

- With the encouragement and support of ARIOB member Mike Torchia, staff submitted a successful proposal to present at the upcoming American Probation and Parole Association (APPA) National Training Institute, August 28-31, 2016 in Cleveland, Ohio, putting ARI on the national stage.
- Mary Ann participated on a panel at the Alternative Sentencing Key Stakeholders conference in Washington, DC, March 7-8, 2016.

As presented on the quarterly dashboard, nearly 1,400 people were served by sites January 1-March 31, 2016. Of those served, 55 individuals exited the program unsuccessfully and were sent to the Illinois Department of Corrections (IDOC), for a total diverted of 1,338 people. These diversions represent an estimated \$5.9 million of cost avoidance to the state's correction system for the quarter. The number of new enrollments was 153, which is down as a result of the budget impasse including the loss of Kane County as a site. Further discussion of the increasing negative impact of the state budget crisis will be discussed later in the agenda.

ARIOB committee reports

Lindsey LaPointe reported on behalf of chair Angelique Orr for the Site Selection & Monitoring Committee, which met twice: on March 18th and May 5th. Spring is a critical time for preparing grant paperwork. The Committee provided guidance on moving forward with the SFY17 grant renewal process in the midst of the fiscal uncertainty, as well as reviewed a "rapid response plan" for disbursing SFY16 funds should they become available. All sites were encouraged to submit renewal requests even if unsure about proceeding, and these requests were reviewed at the May 5th meeting to develop the funding recommendations that will be voted on later in the meeting. She referenced the summary chart in the materials and thanked the members of the Committee for their contributions.

Nate Inglis Steinfeld reported on the Performance Measurement Committee, which met May 10th. The Committee looked at performance indicators to assess the impact of programs shutting down or operating at lower capacity due to the budget impasse. He noted staff's efforts to document how sites have reacted to the impasse, e.g. what cuts occurred and when (such as with evidence-based practices) to have the information for evaluation purposes. He referenced the budget impasse impact infographic, which reports that five sites may not meet their reduction goals due to drops in enrollments. The Committee also reviewed the Cook County HOPE program's progress with its corrective action plan. The Committee talked broadly about the challenges of state funding cycles and the lack of staffing resources.

Mark Ishaug asked about how sites are operating without state funds, and Mary Ann talked about the different ways sites "floated" their programs while awaiting state reimbursement, including relying on agencies to provide services without payment. Mary Ann and Lindsey noted that they are in constant contact with sites to keep track of new developments. Several sites are hesitant about moving forward amidst the fiscal uncertainty.

Kathy Saltmarsh reported for the Outreach, Technical Assistance & Communication Committee, which met on May 9th. The Committee discussed media coverage (fairly substantial and generally positive) and the need for greater staff capacity to provide technical assistance, performance measurement and monitoring.

The Committee also discussed expanding ARI eligibility beyond non-violent offenses (according to its enabling legislation, the Crime Reduction Act), which is a highly relevant topic given state and national discussions about how the prison population cannot be significantly reduced if the focus is only on non-violent offenses and does not follow evidence-based practices directed to high-risk, high-needs populations (including those with violent convictions) based on risk assessments. Lindsey summarized local feedback from sites on the eligibility expansion issue when they were surveyed last fall, referencing a document included in the meeting materials. Sites often pose questions to ARI staff about eligibility and what is considered a violent offense (defined by the Rights of Crime Victims and Witnesses Act). The survey showed that sites were interested in a broader interpretation for eligibility

to allow all probationable offenses, subject to local screening and assessments. Sites noted there was a dearth of diversion programs for those with violent offenses and reported turning them away from programming from which they would benefit, which might result in a prison sentence or a probation sentence with less services. There was general agreement that additional funding would be needed to enhance services if eligibility were to be expanded.

Kathy Saltmarsh offered that making such an eligibility change could be feasible in the current environment, whether the suggestion comes from the Commission, the Oversight Board, or from a legislator. The Oversight Board will continue discussing this issue via the Outreach, Technical Assistance & Communication Committee, and all were encouraged to participate. Upon a question by Walter Boyd, Mary Ann noted that the ARI restriction applies to the current offense, and it is up to the sites to determine how to take into account violence in a person's background. Mark Ishaug said it is important to acknowledge that additional resources would likely be required to support program expansion and asked whether evidence-based practices are different for violent offenders. More needed to be learned about this. ARIOB members Lavone Haywood and Patricia Hayden, which both represent probation departments, expressed their support for this exploration.

Discussion of SFY16 budget

Mary Ann referred to PowerPoint slides highlighting quarterly data trends. Participation levels are showing a decline, in part due to the loss of Kane County. IDOC commitments from program exits are steady; however, staff is concerned that scaling back evidence-based practices will lead to increased relapse and revocation rates. Successful exits increased due to Cook HOPE. Of program exits, most have remained out of IDOC.

Mary Ann reviewed the fiscal impact of the budget impasse on the sites. Originally awarded \$7 million total in SFY16 funds, sites are spending overall at 66%, and sites are owed \$3.9 million through April. Overall, staff expect to need a total of \$5.4 million to cover SFY16 site and administrative expenses.

ARI staff has been tracking budget bills in Springfield (such as SB2038, a.k.a. "human services stopgap bill"), which have included ARI, and have tried to be prepared for different scenarios to be able to implement a "rapid response" to disburse funds once they become available.

Mary Ann highlighted the impact on enrollments, which last quarter were down 33% from the average. There was a discussion about what happens when a person cannot be enrolled in ARI, and the options are IDOC or to probation with a lower level of care than required for high-risk (to re-offend) clients. The impact on evidence-based practices, the hallmark of ARI, included less supervision and drug testing, and fewer services such as cognitive behavioral therapy or transportation support. Lindsey also pointed out that sites have lost trained staff, which has detrimental effects.

Mary Ann also talked briefly about plans to rebuild after the budget impasse. Tom Mahoney asked whether sites that have closed will come back into the network once funding is restored, and Mary Ann said that Kane County has expressed interest in coming back. Randy Kurtz asked how many other sites are at risk of closing if not funding by June 30th. Mary Ann said that it was difficult to know as the decision is based on a variety of system actors, including site representatives, county board members, and service providers (many of which have been operating without payment). Mark Ishaug noted that Thresholds has a Kankakee campus, expressing regret that the Kankakee site is closing as of July 1st and offering his assistance.

Reauthorization of ARIOB committee funding decisions between regular meetings

Chief Hunt requested a motion to reauthorize working committees to negotiate grant amounts proportionally, depending on the appropriation level in any SFY16 budget that is passed in-between regular meetings, so that funds can be distributed to sites as soon as possible. Upon a motion by Lavone Haywood, seconded by Mark Ishaug, the motion passed.

Discussion and Approval of SFY17 funding

Mary Ann referred to a spreadsheet with SFY17 renewal funding recommendations based on a \$9 million annual appropriation such as was in the governor's proposed budget. The scenario included approximately \$7.6 million in grants plus administrative costs for the program. She stressed the critical need for additional staff once funding is restored.

Chief Hunt asked whether the planning grant opportunity included in the budget scenario was focused on eligibility expansion, and Mary Ann noted that as one possible use of the funds. There was a discussion about shifting funds from sites that closed to new sites, which was reflected in the budget scenario. Mary Ann reported that the Site Selection & Monitoring Committee allowed for a 10% increase in maintenance funding levels for continuing sites if there were justified rate increases.

Lindsey presented the funding recommendations proposed by the Site Selection & Monitoring Committee, not including non-renewing sites (Kane and Kankakee) but with new sites (DeKalb and Kendall). Some recommended awards were subject to contingencies of cost-effectiveness and/or use of most recent data for target population and reduction goal calculations. Chief Hunt asked whether non-renewing sites such as Kankakee could decide later to apply to renew, and staff answered it would have to be through a separate Request for Proposals (RFP) process.

Funding recommendations (plus up to an additional 10% contingent on documented cost increases):

1. 2nd Judicial Circuit – Fund up to the SFY16 maintenance grant amount (\$332,317.45), contingent on cost-effectiveness and updated IDOC data in calculations.
2. 4th Judicial Circuit – Fund up to the SFY16 maintenance grant amount (\$217,664.41).
3. 9th Judicial Circuit – Fund up to the SFY16 maintenance grant amount (\$422,887).
4. 20th Judicial Circuit – Fund up to the SFY16 maintenance grant amount (\$483,837.30).
5. Boone County – Fund up to the SFY16 maintenance grant amount (\$123,797), contingent on cost-effectiveness.
6. Cook County HOPE – Fund up to the SFY16 maintenance grant amount (\$753,765), contingent on updated IDOC data in calculations.
7. Cook County (ACT Court) – Fund up to the SFY16 maintenance grant amount (\$915,800), contingent on cost-effectiveness and updated IDOC data in calculations.
8. DuPage County – Fund up to the SFY16 maintenance grant amount (\$278,843).
9. Grundy County – Fund up to the SFY16 maintenance grant amount (\$86,698).
10. Jersey County – Fund up to the SFY16 maintenance grant amount (\$110,612), contingent on cost-effectiveness.
11. Lake County – Fund up to the SFY16 maintenance grant amount (\$229,316.80).
12. LaSalle County – Fund up to the SFY16 maintenance grant amount (\$178,107).
13. Macon County – Fund up to the SFY16 maintenance grant amount (\$350,357.97).
14. Madison County – Fund up to the SFY16 maintenance grant amount (\$192,347).
15. McLean County – Fund up to the SFY16 maintenance grant amount (\$151,370).
16. Peoria County- Fund up to the SFY16 maintenance grant amount (\$222,182).
17. Sangamon County – Fund up to the SFY16 maintenance grant amount (\$202,252).
18. Will County – Fund up to the SFY16 maintenance grant amount (\$302,616).
19. Winnebago County – Fund up to the SFY16 maintenance grant amount (\$720,420.91).

Upon a motion by Craig Findley and seconded by Patricia Hayden, the renewal funding recommendations were voted in a block, with Patricia Hayden abstaining from the DuPage vote; Mike Torchia abstaining from the Sangamon vote; and Lavone Haywood, Tom Mahoney and Lori Roper abstaining from the Cook vote.

Separately, upon a motion by Mark Ishaug, seconded by Randy Kurtz, the funding recommendations for DeKalb (\$157,655) and Kendall (\$192,714.65) were approved.

To allow for maximum flexibility to bring on new sites, staff requested advance ARIOB approval to offer a planning grant opportunity (capped at \$200,000) and/or release an RFP should a sufficient appropriation become available. Upon a motion by Lori Roper, seconded by Randy Kurtz, the planning grant opportunity and RFP were approved.

Old business/New Business

Mary Ann reported that, with a small pot of federal funds from ICJIA's Research & Analysis Unit, a part-time contractual staff person will be helping over the summer.

She asked the Oversight Board for guidance about the need for further communication with sites as the impasse continues. Staff has been very clear about any reimbursements being subject to sufficient appropriation levels. Mark Ishaug suggested that sites might find it helpful to talk with other sites about how they are coping.

Adjournment

Upon a motion by Craig Findley, seconded by Tom Mahoney, the meeting was adjourned at 3:12 p.m.
(Approved 11/14/16)