

Minutes from the Adult Redeploy Illinois Oversight Board Meeting
Monday, May 19, 2014
1:30-3:30p.m.
JRTC 100 W. Randolph, Room 2-025, Chicago
Stratton Building, Room 617, 401 S. Spring, Springfield

Board members in attendance (CHI): Walter Boyd, Joseph Bruscato, Jack Cutrone, S.A. (Tony) Godinez, Patricia Hayden, Lavone Haywood, Thomas Mahoney, Adam Monreal, Mike Pelletier, Deborah White
Board members in attendance (SPI): Michelle Saddler, Kathy Saltmarsh, Mike Torchia

Non-board members in attendance: Jordan Boulger, Glenda Bowker, Nancy Cooper, Judy Dallas, Mary Ann Dyar, Samantha Gaddy, Kelly Gallivan-Illaraza, Sharrell Hibbler, Rebecca Janowitz, Simeon Kim, Lindsey LaPointe, Pat McGuire, Daynia Sanchez-Bass, Kathryn Simon, Rebecca Skorek, Susan Taylor, Paula Wolff

Call to order/Roll call/Introductions

Director Tony Godinez called the meeting to order at 1:39 p.m. Mary Ann Dyar conducted a roll call, and it was determined there was quorum. Introductions were also made in the audience.

Approval of minutes from February 3, 2014 meeting

Secretary Saddler asked members to review the minutes for approval from the February 3, 2014 ARIOB meeting previously circulated. Mike Torchia made the motion to approve, seconded by Pat Hayden, which passed.

Program Administrator/Committee reports

Director Godinez asked Mary Ann to deliver the program administrator report. Mary Ann referred members to the written report that was circulated, highlighting the recent All-Sites Summit that was held April 24-25th in Bloomington and attended by 135 people from 40 counties. The summit focused on three critical issues facing ARI sites: opiate addiction, housing and employment, and featured issue experts as well as best practices from the field. Mary Ann acknowledged the hard work of Lindsey LaPointe, who coordinated the summit, and thanked ARIOB member Winnebago County State's Attorney Joe Bruscato for providing inspiring opening remarks about alternative justice strategies. Members Jack Cutrone, Kathy Saltmarsh and Mike Torchia were also in attendance.

Committee reports

The **Outreach, Technical Assistance & Communication Committee** met on March 28th to discuss the All-Sites Summit, and plan for ways to provide proactive technical assistance to sites. Currently, Adult Redeploy Illinois works with the Center of Excellence on Behavioral Health and Justice to provide technical assistance.

The **Site Selection & Monitoring Committee** met on May 13th to review renewal requests and prepare funding recommendations for the 18 continuing sites, which will be discussed later in the meeting. The committee also reviewed the Grundy County local plan to create a new mental health court next fiscal year, contingent upon available funds and a response to a Request for Proposals.

The **Performance Measurement Committee** met on May 16th, to assess sites' progress toward their 25% reduction goals (which should be kept in mind when reviewing renewal requests), as well as discuss methods for counting target populations and reduction goals with renewing sites. It was a productive meeting that generated policy recommendations for consideration by the Oversight Board. The committee looked at four new sites that are not likely to meet their initial goals (4th Judicial Circuit, Boone, Cook ACT Court, and LaSalle) due to slow start-ups. The committee determined, based on past practices with the pilot sites and federal funding, that the reduction goals should be pro-rated to account for a "ramp-up" period of either a standard four months (based on other sites' experience) or based on the date the grant agreement is fully executed (taking into account paperwork delays). A policy recommendation clarifies this, which will be taken up in New Business.

Secretary Saddler asked whether new sites are funded with advance payments or upon reimbursement. Mary Ann explained that it is a combination of both: initial cash requests advance the first quarter's-worth of expenses, thereafter reimbursements.

Mary Ann noted two other policy recommendations were forwarded by the committee: one to authorize the committee (or other working committee) to review and approve corrective action plans submitted by sites. This would currently pertain to Jersey County, which is struggling to meet its reduction goal due to problems experienced in its drug court program. It is important that action be taken on the corrective action plan to be submitted by Jersey County by June 30th (end of fiscal year). Mary Ann stated that ARI staff had performed a site visit to Jersey County last month to discuss these issues, and the Center of Excellence provided customized training and technical assistance to address the problems. Since the site visit, Jersey County has begun to implement several of the Center's recommendations. Jersey County will be requesting negotiating its reduction goal down from 15 to 12, which is over 25% of the target population and remains cost-effective.

The third policy recommendation has to do with calculating renewal reduction goals for continuing sites. All sites begin (per statute) by calculating a target population and reduction goal by examining program-eligible commitments to IDOC over the past three years. ARI staff suggested adding "carry over" clients to the target population baseline (IDOC data) prior to calculating the new 25% reduction goal for renewal grants. "Carry over" clients are individuals enrolled on both the last day of the previous grant period and first day of the new grant period, diverted from IDOC in two distinct grant periods. Integrating the "carry over" clients into the new reduction goal is appropriate for several reasons. First, these individuals are diverted (from IDOC) and thus save the state money in two distinct grant periods. Second, most ARI interventions last more than 12 months which is longer than the typical grant period. ARI sites should thus be able to count "carry over" clients in both the calculation and measurement of the 25% reduction goal in the two distinct grant periods. Not allowing this counting mechanism discourages continuous enrollments and penalizes sites with longer interventions. The question was raised whether this counting mechanism will lead to duplicative counts. Actual numbers served will not be counted twice.

Madison County ARI program presentation

From Springfield, Secretary Saddler introduced the Madison County ARI team including Judy Dallas (Director of Probation and Court Services), Nancy Cooper (Chief Probation Officer), Glenda Bowker (Operations and Financial Manager) and Susan Taylor (Chestnut Director Substance Abuse).

Director Dallas provided opening statements on the development of the ARI program in 2011, saying that it was "one of the best decisions we ever made." Nancy Cooper walked members through the program model description, to enhance the county's drug, mental health and veterans courts. Funds have been used to provide staff (Probation Specialist) and services, work with a local evaluator, and establish a new Community Restorative Board. The target population is Class 3 and 4 non-violent offenders, who are screened and assessed by TASC for behavioral health needs. A multi-disciplinary team, including an assistant state's attorney very knowledgeable of the eligibility criteria, staffs the cases. Clients progress through phases of the program, and have access to medication, transportation and employment assistance, and are subject to increased drug testing, sanctions and incentives. The Community Restorative Board, which is run by a therapist and includes a retired judge, physician, and United Way associate director as volunteers, assigns clients projects to "repair the harm" their crimes created in the community. Sources of clients include those convicted of new felonies whose pre-sentence investigations (PSI) indicate a lengthy criminal history and potential commitment to IDOC, and those with pending petitions to revoke (PTR) probation to IDOC.

Kathy Saltmarsh asked which offenders get PSIs. Nancy and Judy said that it is the offenders that have been convicted of a felony and for which IDOC is being deliberated. (The PSI requirement is waived in plea deals.) Kathy Saltmarsh asked whether risk assessments are part of the PSI process, but formal assessments are not. Nancy also shared a few client success stories with the Oversight Board. She shared some program statistics assembled by a local evaluator, which indicated the appropriate offenders are in the program.

Secretary Saddler asked about pathways into the program and the impact of criminal history. There was also a question about how the Community Restorative Board works.

Approval of Grundy County local plan

Director Godinez asked Mary Ann to present the Grundy County local plan for approval. The official application process for Adult Redeploy Illinois includes a separate vote to approve the substance of the local plan that's submitted, e.g., following a planning process. Generally we have been combining this approval vote with a vote to fund the local plan once cost-effectiveness has been determined. Grundy County's local plan is to start the Treatment Alternative Court (TAC), a mental health court to work alongside its existing drug court. It is a small program, serving eight individuals, but it is cost-effective based on initial budget projections. Jack Cutrone asked about the lack of mental health treatment providers in the area and the impact of waitlists. Lindsey LaPointe noted that the plan addresses this directly by funding additional mental health staff. Upon a motion by Jack Cutrone, seconded by Adam Monreal, the Grundy County local plan was approved in substance.

Discussion and approval of FY14 spending and FY15 funding

Secretary Saddler noted that there are a number of votes related to SFY14 spending and SFY15 funding. Mary Ann drew members' attention to funding recommendations in the meeting packet.

Approval of reallocation of unspent FY14 planning grant funds

The Board approved up to \$200,000 in SFY14 funds for planning grants. Of this amount, approximately \$135,000 was awarded for six planning grants. The Board needs to vote to release the remaining funds to be used for other purposes. Kathy Saltmarsh made the motion for approval as recommended, which was seconded by Mike Torchia, and passed.

Approval of FY15 continuation award funding recommendations

The Site Selection & Monitoring Committee met last week to review renewal requests from the 18 continuing sites (no attrition). Mary Ann highlighted the funding recommendations and the contingencies proposed based on cost-effectiveness calculations provided by the sites (full budget/# served, # diverted). To achieve cost-effectiveness, sites can determine whether to reduce costs or increase diversion goals. Mary Ann also noted that by including carry-over clients in the reduction goal calculations, cost-effectiveness is improved.

Jack Cutrone asked about the contingency placed on Lake County to provide assurance that clients served are prison-bound. Mary Ann said that all other sites specifically state this in their grant agreement materials, and Lake is being asked to do the same. Secretary Saddler asked whether sites were provided guidance as to the possibility of additional funds being available in SFY15, since the amount requested has increased. Mary Ann reported that sites were encouraged at the All-Sites Summit to propose enhancements to their programs (while keeping them cost-effective) based on the possibility of a higher appropriation level; some sites' requests increased to annualize nine-month SFY14 grants. Mary Ann recalled past Board approval for the ARI staff to reduce designated awards up to 25% if necessary. Here, recommendations are for "up to the full amount" but may be reduced and are subject to the appropriation level.

1. 2nd Judicial Circuit – *Recommendation: Fund up to the full amount requested of \$378,161, contingent on cost-effectiveness (based on number diverted)*
2. 4th Judicial Circuit – *Recommendation: Fund up to the full amount requested of \$381,433*
3. 9th Judicial Circuit – *Recommendation: Fund up to the full amount requested of ~~\$478,000~~ \$486,000*
Note: Since Site Selection & Monitoring Committee meeting, the grantee has identified the need for an additional \$8,000 under contractual for training and a compliance officer hours due to staff turnover in two of the three probation positions.
New total: \$486,000
4. Boone County – *Recommendation: Fund up to the full amount requested of \$169,158, contingent on cost-effectiveness.*
5. Cook County – *Recommendation: Fund up to the full amount requested of \$1,237,828*

6. Cook County (ACT Court) – *Recommendation: Fund up to the full amount requested of \$1,116,736, contingent on cost-effectiveness.*
7. DuPage County – *Recommendation: Fund up to the full amount requested of \$321,237, contingent on cost-effectiveness and clarification of the reduction goal calculation.*
8. Jersey County – *Recommendation: Fund up to the full amount requested of \$122,901, contingent on cost-effectiveness.*
Note: Jersey County has been having difficulty reaching their SFY14 reduction goal and will require corrective action.
9. Kane County – *Recommendation: Fund up to the full amount requested of \$493,532, contingent on achieving cost-effectiveness.*
10. Lake County – *Recommendation: Fund up to the full amount requested of \$296,620, contingent on the provision of service goals for SFY15 and written assurance that ARI-funds are for prison-bound individuals.*
11. LaSalle County – *Recommendation: Fund up to the full amount requested of \$300,135*
12. Macon County – *Recommendation: Fund up to the full amount requested of \$405,513*
13. Madison County – *Recommendation: Fund up to the full amount requested of \$310,709, contingent on cost-effectiveness and the provision of information showing proposed new salary is consistent with similar salaries.*
14. McLean County – *Recommendation: Fund up to the full amount requested of \$195,325*
15. Peoria County – *Recommendation: Fund up to the full amount requested of \$365,700*
16. Sangamon County – *Recommendation: Fund up to the full amount requested of \$310,747*
17. St. Clair County – *Recommendation: Fund up to the full amount requested of \$541,126, contingent on cost-effectiveness.*
18. Winnebago County – *Recommendation: Fund up to the full amount requested of \$884,533.*

Jack Cutrone made a motion to accept the recommendations as clarified by ARI staff, which was seconded by Adam Monreal. The motion passed. Thomas Mahoney, Deborah White, and Lavone Haywood abstained from the votes on the two Cook County programs. Joseph Bruscato abstained on Winnebago County. Pat Hayden abstained on DuPage County. Mike Torchia abstained on Sangamon County.

Approval of release of FY15 RFP and planning grant process

Mary Ann reported on the varying appropriation levels recommended by the Governor’s Office, Senate and House. Jack Cutrone reported that Senate bill (SB3638) included \$13,950,200 for ARI, and the House bill (HB6084) as amended included \$10,207,800. ARI is one of the only programs in the state for which there is a recommended increase. Budget negotiations are to be completed by the end of session and are linked to action on the income tax.

Mary Ann shared budget scenarios based on possible appropriations level increases, with renewal requests at \$8.3 million, \$200,000 for planning grants, up to \$2 million to bring on new sites, and 10% for administrative expenses. This totals close to the \$10.2 million appropriation level. With flat funding at \$7 million, continuing sites renewal requests could be funded at around 72%. The \$13.95 million appropriation level would provide additional opportunities.

Adam Monreal talked about outreach efforts to bring on counties not yet participating. Mary Ann noted the lack of participation in the eastern part of the state, in particular Champaign and Vermillion counties. Jack Cutrone noted that further operation of the sites without penalties will reassure other counties. Mary Ann acknowledged that the corrective action plan process acts as a safeguard before the reimbursement provision would be exacted.

Mike Torchia made the motion to release a SFY15 Request for Proposals and set aside \$200,000 for planning grants, contingent upon appropriation levels. The motion was seconded by Kathy Saltmarsh, and passed.

Authorization of staff decisions between ARIOB meetings

Secretary Saddler opened a discussion to providing authority for staff and/or Site Selection & Monitoring Committee to modify grant agreement amounts (increase/decrease) up to 25% of the awarded amount. Secretary

Saddler noted that this would provide flexibility to make the most of increased funding levels and repurpose funds where they are best allocated. Mary Ann noted that prior Board action gave staff the ability to decrease awards by up to 25%, and there is the precedent that Site Selection & Monitoring Committee can increase grant amounts with supplemental funding awards (based on the Board approving the parameters of a supplemental funding opportunity). Jack Cutrone made the motion to give the Site Selection & Monitoring Committee authority to increase or supplement awards up to 25% of the amount of the original grant with the provision that notification be made the whole Board within five working days; and, if within 10 working days at least three members request reconsideration by the full Board, then the decision will be taken up at the next quarterly Board meeting; otherwise committee action holds. Director Godinez noted that going to the full Board will allow members to abstain if necessary. Adam Monreal seconded the motion, which passed.

Old business/New business

Mary Ann asked for Board approval of the three policy statements discussed earlier based on recommendations from the Site Selection & Monitoring and Performance Measurement Committees.

1. Policy to pro-rate 25% reduction goals for new sites to reflect actual start date. Jack Cutrone made the motion, seconded by Thomas Mahoney. Motion passed.
2. Policy to allow a working committee to approve site corrective action plans in between Board meetings, if necessary. Walter Boyd made the motion, seconded by Joe Bruscatto. Motion passed.
3. Policy to include “carry over” clients in calculation of renewal reduction goals. Adam Monreal made the motion, seconded by Thomas Mahoney. Motion passed.

Next meeting is scheduled for Monday, August 4, 2014.

Adjournment

Upon a motion by a Kathy Saltmarsh, seconded by Mike Torchia, the meeting was adjourned at 3:26 p.m.
(Approved 11/10/14)